Winter'17 CS 485/585

Intro to Cryptography

CS 485/585: Cryptography

Fang Song

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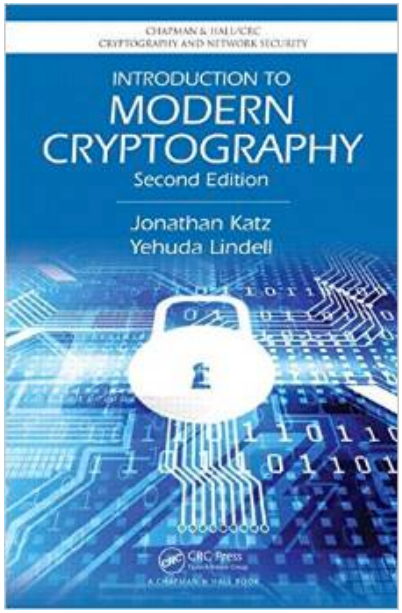
- **Meetings:** T/Th 2 – 3:50 pm @ FAB 47
- **Office Hours:** T/W 4-5 pm or by appointment
- **Course webpage**: http://www.fangsong.info/teaching/w17_4585_icrypto/
  - Check regularly for updates and announcements.
  - **Resource** page contains useful materials

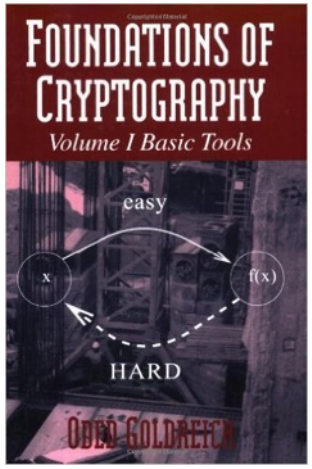
Text

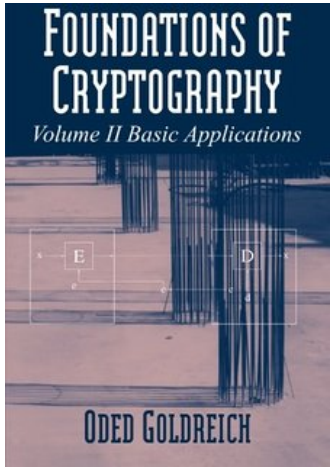
- **Required**: Katz-Lindell [KL] 2nd edition
- Boneh-Shoup [BS]: grad course in applied crypto
  - https://crypto.stanford.edu/~dabo/cryptobook/
- Goldreich: Foundations of Cryptography Vol I, II



A Graduate Course in Applied Cryptography

Dan Boneh and Victor Shoup





What is this course about?

A **CONCEPTUAL** & **THEORETICAL** tour to modern cryptography

YES

- Ideas
- Formal methods to security
  - How to define, reason/prove?

NO

Important, but not our focus

- Implementations
- How to secure your systems & network?

Goal: cryptographic way of thinking

- Solid foundation for real-world security
- Appreciate the intellectual beauty
- Beneficial far beyond cryptography

Prerequisite

Comfortable with READING & WRITING *Mathematical* proofs

Did you *enjoy* CS 311, CS 350 or equiv.?

- Reasonable math background helpful
  - Basic probability theory, linear algebra, number theory, algorithm analysis …

??? No idea about "Big-Oh notation, random variable, independence, matrices, eigenvalue, congruence…"

Come & talk with me

- Programming not required

Main topics

1. **Overview**. (1 week)
   - History, principles of modern crypto, perfect secrecy

2. **Private-key** *(symmetric)* crypto (4 weeks)
   - Encryption, message authentication, hash functions

3. **Public-key** *(Asymmetric)* crypto (3 weeks)
   - Key-exchange, public-key encryption, digital signatures

4. **Selected topics** (2 weeks)
   - Zero-knowledge, secure computation, fully-homomorphic encryption, quantum computing & quantum-safe crypto

Policy: grade

- 10%: participation
- 40%: homework.
  - 5 assignments, one lowest score dropped
- 20%: 4 closed-book in-class quizzes
- 30%: final exam
  - You can prepare 2*double-sided letter-size notes

Policy: homework

- Turn in hard copies before lecture on due date
  - **Late** homework**:** penalty of 50% (<1 day), 80% (1-2 days), 100% (> 2days)
- Your solutions must be intelligible
  - Be ready to explain your soln's verbally, and convince others & **yourself**
- **Collaboration** is encouraged!
  - Group of $\leq$ 3 people, brainstorm etc.
  - Write up your solutions independently
  - Mark the names of collaborators on each problem
- Tips: start early!

Policy

Academic Integrity

- Follow the PSU Student Conduct Code
  - http://www.pdx.edu/dos/codeofconduct
- Any academic dishonesty will be taken seriously!

- Academic accommodations
  - Register with the Disability Resource Center (https://www.pdx.edu/drc/) and contact me

Announcement

- Next week (Jan. 17, 19): class cancelled
  - Due to QIP'17 (https://stationq.microsoft.com/qip-2017/)
- Makeup lectures

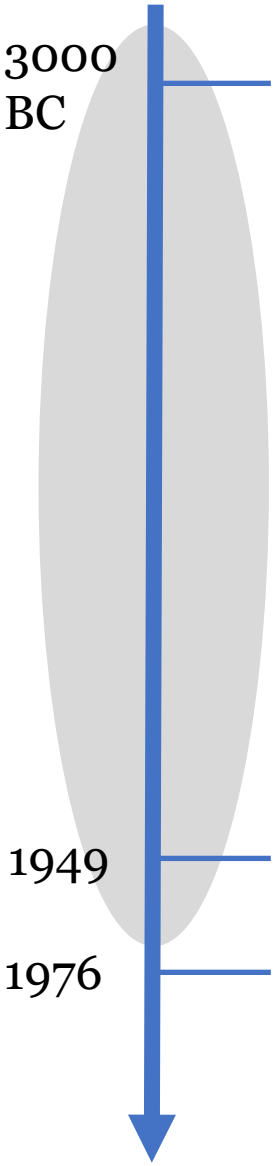
Winter'17 Quantum Day @ Portland

A Day Trip to Quantum computing and Cryptography

  - http://fangsong.info/activity/w17qpdx/
  - Friday, January 13 @ University Place Hotel & Conf. center
  - Attend at least one of the four talks
  - Email me *in advance* if you can make none, Plan B available
    - http://fangsong.info/teaching/w17_4585_icrypto/#mu
  - Will appear in Homework

Today

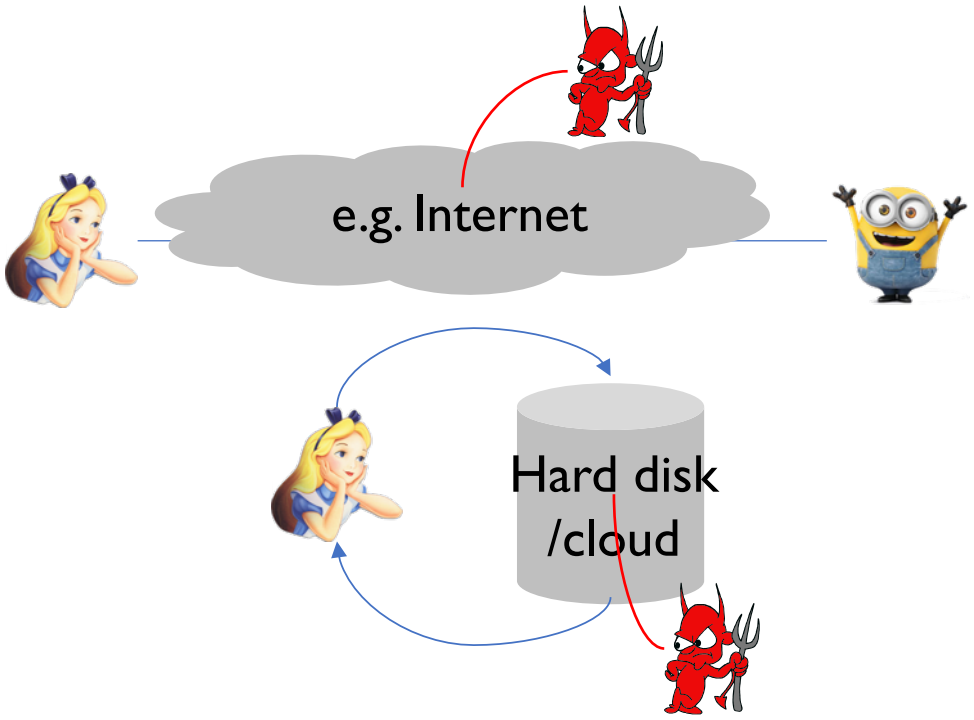
1. History
2. Principles of modern Cryptography
3. Review: mathematical background
4. Symmetric encryption: Perfect secrecy



Cryptography is the **art** of writing or solving *codes (ciphers)*.

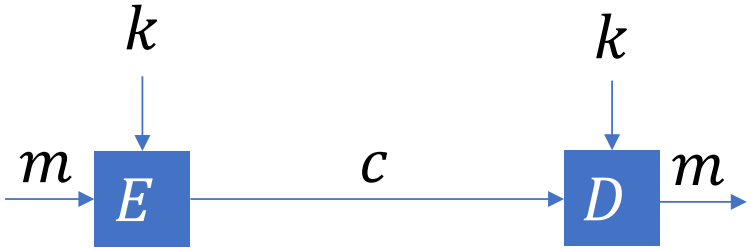
-- *Concise Oxford English Dictionary*

- Two typical scenarios of "secret writing"



The setting of private-key encryption

- Call a cipher an *encryption* scheme



- Syntax of private-key (symmetric) encryption
  - k: private-key (shared-/secret-key)
  - m: plaintext
  - c: ciphertext
  - E: encryption algorithm
  - D: decryption algorithm

Ceasar's cipher

- Example

cryptoisfun

fubswlvixq

- Rule

a b c d … y z

d e f g … b c

$\{a,\ldots,z\} = \{0,\ldots 25\}$

- $k = 3$ fixed
- $E(m_i) = (m_i + 3)\ mod\ 26$

- What if you know it's encoded by C's cipher?

Kerckhoffs' principle

The cipher method must ***NOT*** *be required to be secret, and it must be able to fall into the hands of the* ***enemy*** *without inconvenience.*

i.e. security rely solely on *secrecy* of the *key*

1. Easier to **keep secrecy of & change** a *short* key than *complex* enc/dec algorithms
2. More trust via public scrutiny
3. Easier to maintain at large-scale

Only use *standardized* cryptosystems whenever possible!

Extension: shift & sub cipher

- **Shift** cipher

$$\{a,\ldots,z\} = \{0,\ldots 25\}$$

  - Pick $k \in \{0,..25\}$ and keep it secret
  - $E(m_i) = (m_i + k)\ mod\ 26$
  - But, only 26 possibilities, *brute-force* search!
  - Ex. decipher "dszqupjtgvo"

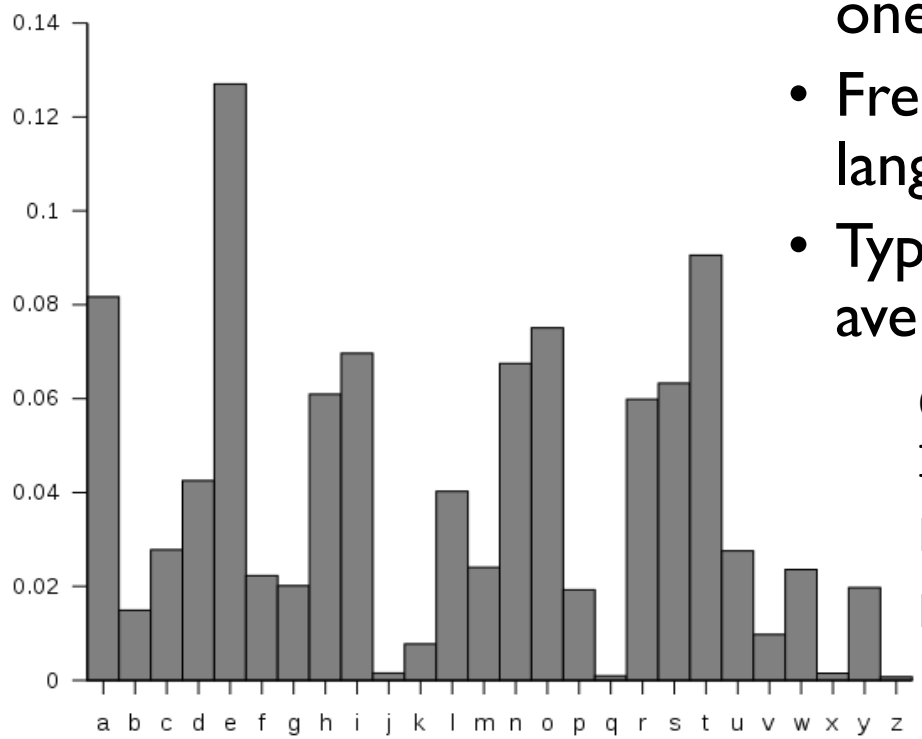
- **Substitution** cipher
  - key k defines a *permutation* on the alphabet
  - Ex. encrypt "cryptoisfun" under the following key

a	b	c	d	e	f	g	h	i	j	k	l	m	n	o	p	q	r	s	t	u	v	w	x	y	z
x	e	u	a	d	n	b	k	v	m	r	o	c	q	f	s	y	h	w	g	l	z	i	j	p	t

  - How many Possible keys? $26! \approx 2^{88}$

Attacking substitution cipher

Frequency analysis



- Cipher preserves frequency: one-to-one correspondence
- Frequency distribution in English language is publicly **known**
- Typical sentences close to average frequency distribution

  GSD UVPSDH CDGSFA CLWG QFG ED HDYLVHDA GF ED WDUHDG

  D - 18, G - 14, Q - 9,

  Ex. Decipher it by hand or online solver

- Can automate and improve the attack on shift cipher too

Poly-alphabetic shift cipher

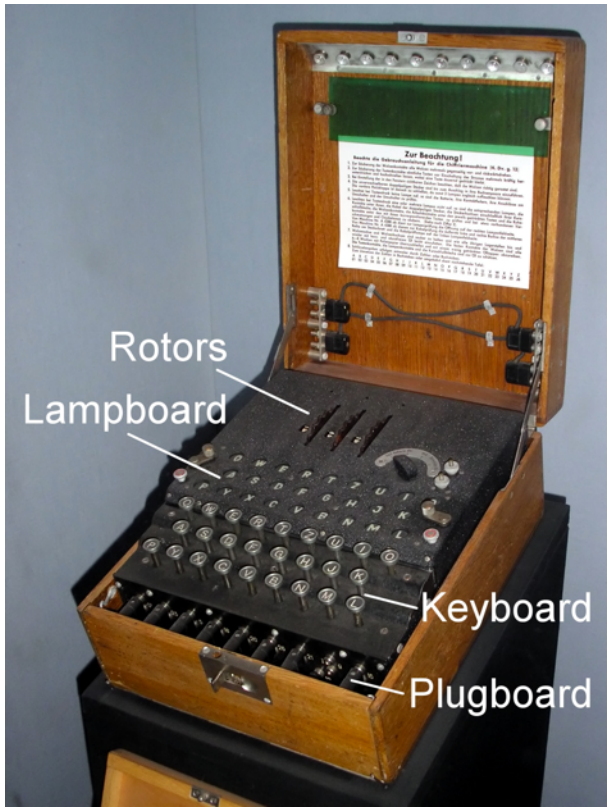
- a.k.a The *Vigenère* cipher
  - Key: a *string* of letters
  - Encrypt:

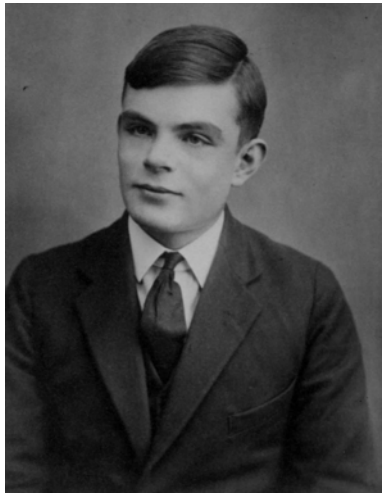
Key:	psu psu psu psu psu psu
Plaintext:	cry pto isf una ndc ool
Ciphertext:	rjs eli xkz jfu cvw dgf

  - Considered "unbreakable" for >300 years
- Attack
  - Key length known: frequency analysis on each sub-string
  - How to determine the key length? Read [KL]

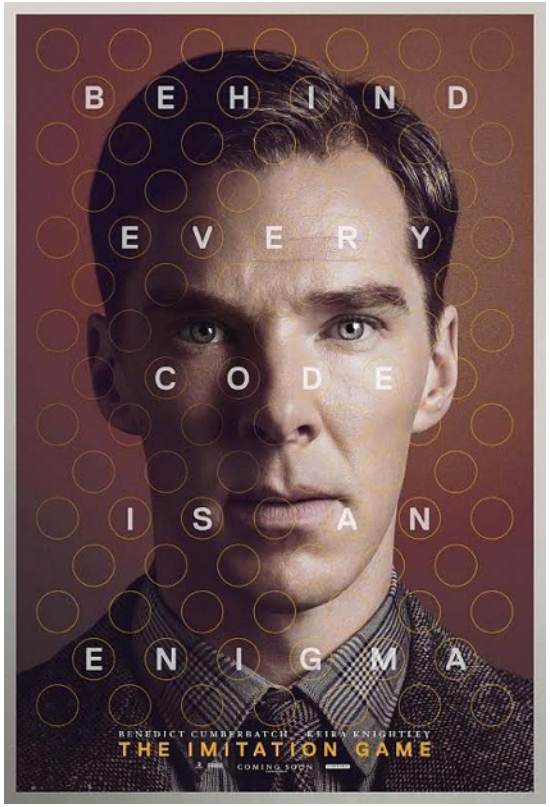
Poly-alphabetic substitution cipher

- Example: Enigma machine in WWII





Alan Turing



Source: imdb

- Attack: same principle as before

Lessons from historical ciphers

- Designing secure ciphers is hard
- Looks unbreakable $\neq$ is unbreakable
- Intelligent but mostly an *art*

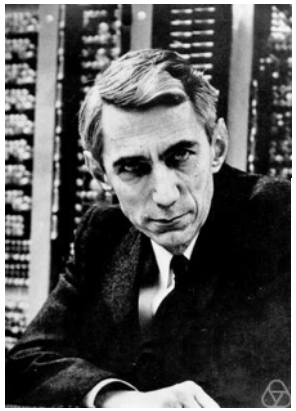
Little idea about

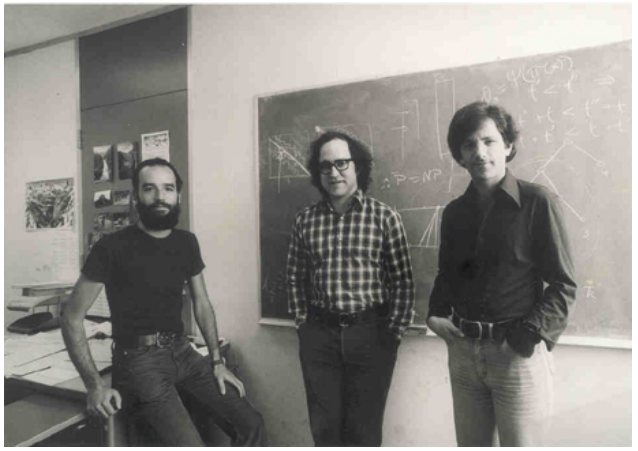
- is a cipher secure?
- under what circumstances?
- what'es "secure" even mean?

3000
BC

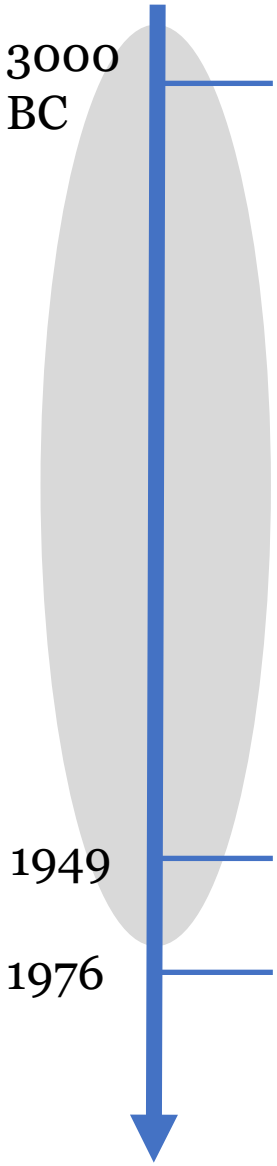
1949

1976





Revolution of Modern Cryptography



Cryptography is the **art** of writing or solving *codes (ciphers)*.

-- *Concise Oxford English Dictionary*

- So much more of a *science* than *art*
  - security via mathematics
- So much more than "secret writing"

Modern Cryptography involves the study of *mathematical* techniques for securing {*digital information, systems and computations*} against adversarial attacks – [*KL*]

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Principles of modern crypto

1. Formal **definitions** of security
   - What is the "security" that you want to achieve exactly?
   - Guide the design and properly evaluate a construction
   - Know better what you need

Principles of modern crypto

2. Rigorous **proofs** of security
   - The only known method to reason against (unaccountably) many possible attacking strategies
   - Never rely on your pure impression

Principles of modern crypto

3. Precise **assumptions**
   - Unconditional security is often impossible to prove
   - Be **precise**, for validating and comparing schemes

Assume "my construction satisfies the definition"	*VS.*	Assume "factoring 1000-bit integer cannot be done in less than 1000 steps"

   - More confidence in well-studied than ad hoc assumption
   - Easier to test simple-stated assumptions
   - Modularity: replace a building block when needed

Principles of modern crypto

Recall: conventional crypto is unclear about

- is a cipher secure?
- under what circumstances?
- what'es "secure" even mean?

1. Formal **definitions** of security
2. Rigorous **proofs** of security
3. Precise **assumptions**

Provable security & real-world

A scheme has been proven secure

$\not\Rightarrow$

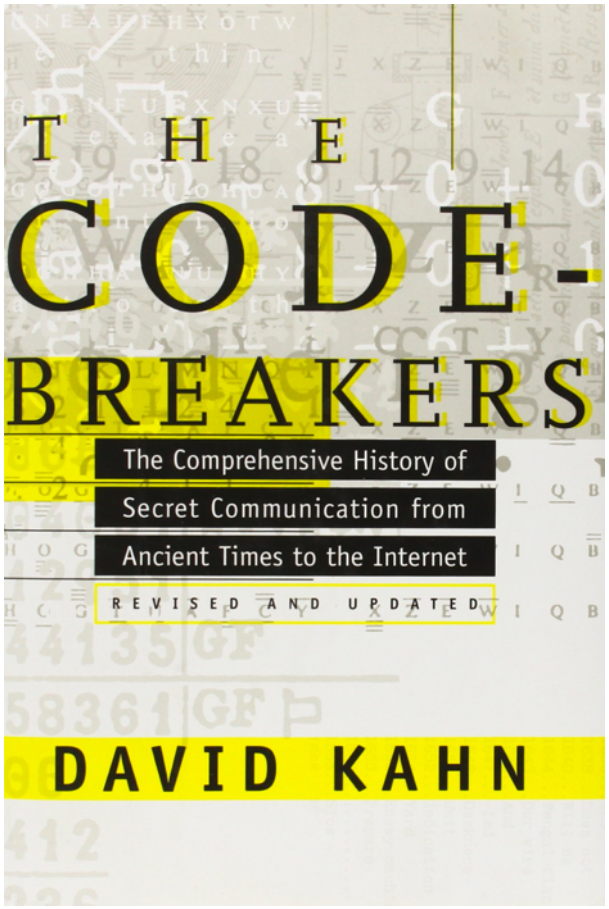
it's secure in the real world

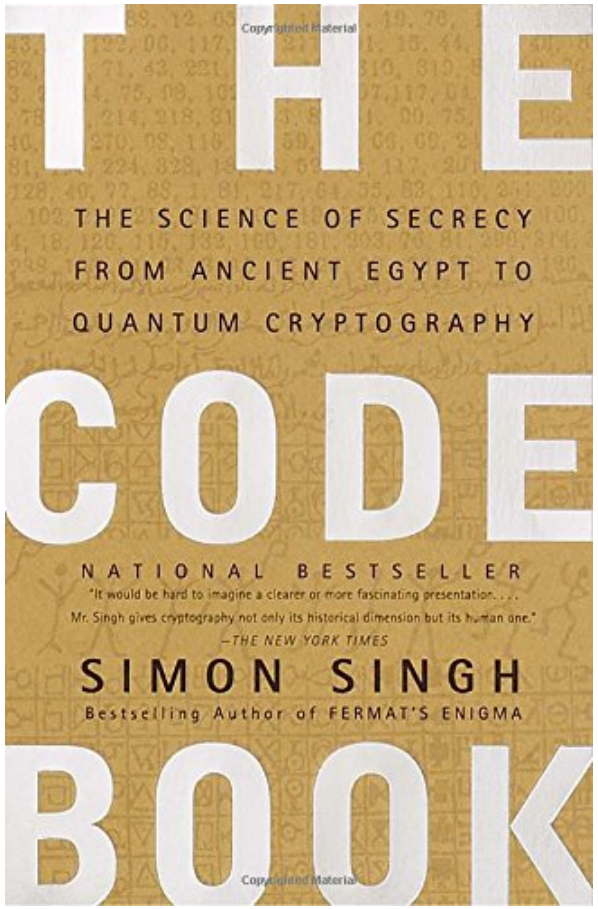
- Is the definition right?
  - Not match what is needed
  - Not capture attackers' true abilities
- Is the assumption meaningful?

But you (the defender), instead of attackers, have more in charge

- You'll know exactly where to look at and improve: refine defs, test assumptions, …

Good reads on crypto history





Source: amazon.com

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Sets

- Basic notations
  - $\exists$ "exist", $\forall$ "for all",
  - $\{0,1\}$ a bit, $\{0,1\}^k$ string of k bits
  - s.t. "such that", iff. "if & only if"
  - $:=$ assign or define

- (Discrete) Sets: A, B, X, Z usually capital letters
  - Union "$\cup$", intersection "$\cap$", subtraction "$A \backslash B$"
  - $|A|$ denotes the size of A
  - $\mathbb{N}$: natural numbers
  - $\mathbb{Z}$: set of integers

Functions

- Functions $f: A \to B$
  - One-to-one (injective) → $|A| \leq |B|$
  - Onto (surjective) → $|A| \geq |B|$
  - One-one correspondence (bijective) → $|A| = |B|$
  - $\log x$ base 2, $\ln x$ natural logarithm base e
- **Asymptotic notation**
  - Let $f, g: \mathbb{N} \to \mathbb{N}$ be functions. $f = O(g)$ iff. $\exists c$ constant s.t. $f(n) \leq c \cdot g(n)$ for sufficiently large n.
  - $f = o(g), f = \Omega(g), f = \omega(g)$
  - Review if necessary: Chapter 3 Introduction to Algorithms, By Cormen, Leiserson, Rivest and Stein.

Probability

- (Discrete) Sample space Ω
  - set of all possible outcomes of a random experiment
  - A probability associated with each $\omega \in \Omega, \Pr(\omega) = p_\omega$.
- **Event** $E \subseteq \Omega$: a subset of the sample space
  - $\Pr(E)$: probability of an event occurs
  - $\bar{E} := \Omega \backslash \mathrm{E}$ complement event, $\Pr(\bar{E}) = 1 - \Pr(E)$
- Ex. Roll a fair dice
  - $\Omega = \{1,2,3,4,5,6\}, \Pr(\omega) = \frac{1}{6}, \omega = 1, \dots, 6.$
  - $E = \{1,3,5\}$ dice being odd, & $\Pr(E) = 1/2$

Probability cont'd

- Union bound

Let $E, F \subseteq S$ be two events. Then
$\Pr(E \cup F) \leq \Pr(E) + \Pr(F)$.

- **Conditional** probability
  - Assume $\Pr(A) > 0$. $\Pr(B|A) := \Pr(A \cap B)/\Pr(A)$.

Bayes' theorem

Let $E, F \subseteq S$ be two events and $\Pr(F) > 0$.
Then $\Pr(E|F) = \Pr(F|E) \cdot \Pr(E)/\Pr(F)$.

- **Independence**
  - Events A, B are independent iff. $\Pr(B|A) = \Pr(B)$.

i.e. $\Pr(A \cap B) = \Pr(A) \cdot \Pr(B)$

Probability cont'd

- Random variable $X: \Omega \to Z$
  - Z usually $\mathbb{R}$ (real num.), i.e. assign each outcome a number
  - "$X = x$" is the event $E = \{\omega \in \Omega: X(\omega) = x\}$
  - Independent random variables: X, Y are indep. iff. for all possible x and y, events $X = x$ and $Y = y$ are indep.
- **Expectation**: a weighed average
  - $\mathbb{E}[X] = \sum_{z \in Z} \Pr(X = z) \cdot z$
  - **Linearity**: $\mathbb{E}[X + Y] = \mathbb{E}[X] + \mathbb{E}[Y]$ (need no indep.)
- Ex. $\Omega =$ roll 4 dices indep,
  - Let $X(\omega)$ be the sum of 4 rolls in $s \in S$.
  - Ex. $\mathbb{E}[X] = 3.5 * 4 = 14$ (HW)

Probability cont'd

- In CS, often use a random variable with values in $Z = \{0,1\}^k$
- Probability distribution D
  - Over a set S: sample space is S and prob. p_s is specified for all $s \in S$ ($\sum_{s \in S} p_s = 1$).
  - Of a random variable $X: \Omega \to Z, \forall x \in \mathrm{Z}, \Pr(X = x)$ is specified
  - $s \leftarrow S$: sample a elem. from S uniformly at random
  - $s \leftarrow_D S$: sample according to distribution D

- Read Tail bounds [KL A.3] HW

Algorithms

- Deterministic algorithm
  - A procedure that computes a function on an input
  - Computational models: circuit, Turing machine
- Randomized (probabilistic) algorithm
  - Unbiased random bits as auxiliary input
  - Model: Turing machine w. an extra uniformly random tape
  - Often more efficient (w. small error)
  - Ex. Quicksort with random pivot n^2 vs. $nlogn$

Will pick up more along the way:

linear algebra, number theory, group theory

…

- Useful references
  - MIT 6.042 Mathematics for computer science
  - A Computational Introduction to Number Theory and Algebra by Victor Shoup.
  - Probability and Computing: Randomized Algorithms and Probabilistic Analysis, by Michael Mitzenmacher & Eli Upfal.

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