

**CCUT - PSU**

**CS 163 Data Structures**

# Lecture 1

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- ✓ • Intro
- Graph basics
- Graph traversal



**Fang Song 宋方**

Portland State University

# Intro - my group

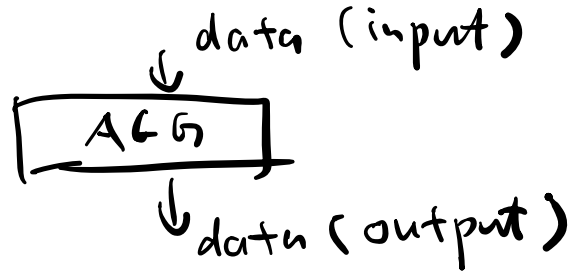
Quantum Computing → CRYPTOGRAPHY

→ Computational Complexity

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# Intro - this course

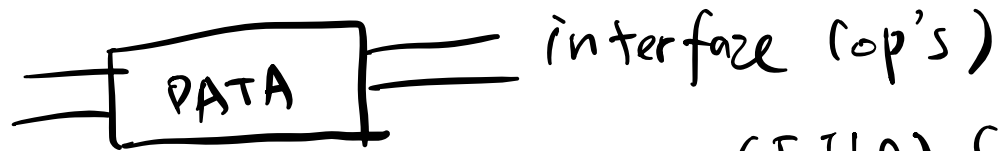
→ Algorithms:



→ Data structures:

organize data so that  
it can be accessed quickly & usefully

• ADT (Abstract Data Type)



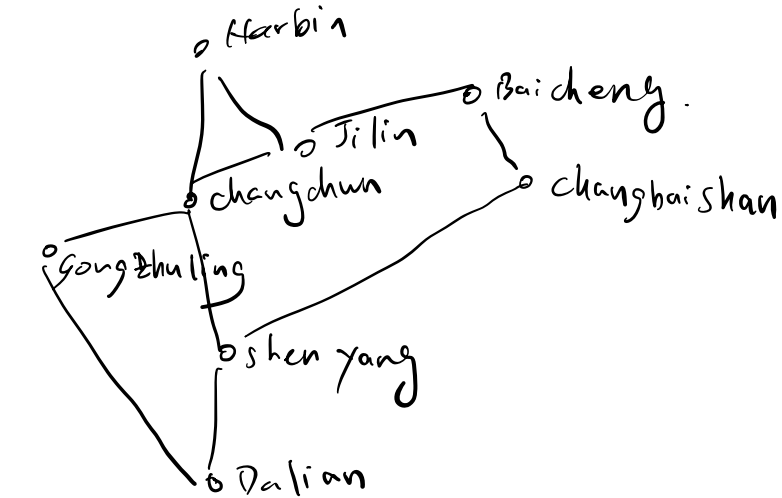
→ so far: L.L, (linear) List, (binary) tree, (FILO) stack, (FIFO) queue,

# Intro - guiding questions

Graphs:

Map of Dongbei: A city: node  $\circ$

Rail/road: (line) edge  $e$



Q1: Can I go from  $A \rightarrow B$  by train? (Connectivity)

Q2: what's the "fastest" option?

→ SHORTEST PATH PROBLEM

→ LONGEST PATH PROBLEM

→ MINIMUM Spanning Tree (MST)

→ Travel salesperson Problem (TSP)

# Intro - guiding questions

→ Graphs: core concepts, algorithms, D.S., comp. complexity  
(design techniques)

→ Flavor switch = More on ideas: conceptual, abstract.  
• less on low-level implementations

★ : "Diversity" of CS!



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**CS 163 Data Structures**

## **Lecture 2**

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- **Graph basics**
- **Graph traversal**

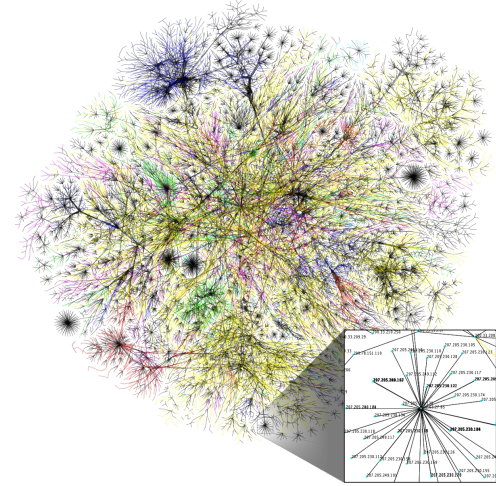


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# Graphs

- ◎ A graph is a set of **vertices** that are pairwise connected by **edges**.
  - Representing relationships between pairs of objects.
  - Two categories: **directed** vs. **undirected**.
- ◎ Why care about graphs?
  - Graphs are a very useful abstraction.
  - Graphs have numerous applications.
  - A lot of graph algorithms exist (and more under way).



# Versatile abstraction

<b>Application</b>	<b>Vertices</b>	<b>Edges</b>
Traffic	Intersections	Roads
Social network	People	Friendship
Game	Board position	Legal move
Financial	Stock/currency	Transactions
Programs	Procedures	Procedure call
Precedence constraints	Courses	Prerequisite

# Defining graphs

⊙ An **undirected** graph  $G = (V, E)$  consists of

- $V$ : a finite set. (Vertex/node set)
- $E \subseteq \{(u, v) : u, v \in V\}$ . (Edge set)
- NB. Self loop  $(u, u)$  not allowed.

⊙ A **directed** graph  $G = (V, E)$  consists of

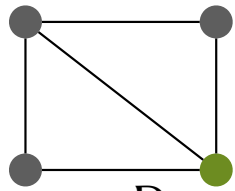
- $V$ : a finite set. (Vertex/node set)
- $E \subseteq \{u \rightarrow v : u, v \in V\}$ . (Edge set)
- The set of edges need **NOT** be symmetric.



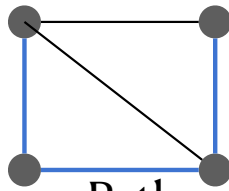
# Graph terminology



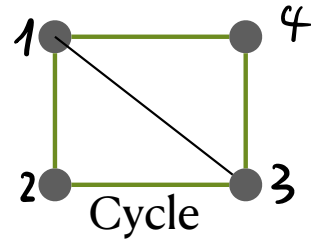
- If  $e = (u, v)$  is an edge in a graph, then  $v$  is called adjacent to  $u$ . (a.k.a neighbors)
- Edge  $e$  is said to be **incident** to  $u$  and  $v$ .
- **Degree** of a vertex  $d(u)$ : the number of edges incident to the vertex  $u$ .



Degree  $d(u) = 3$



Path



Cycle

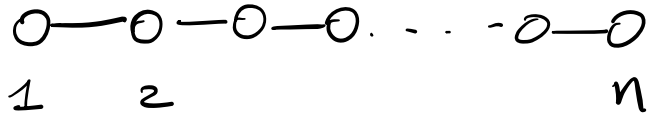
$\{1, 2, 3, 4, 1\}$

- A **path** is a sequence of vertices that are connected by edges.
  - $\{v_1, \dots, v_k\}$ , s.t.  $(v_i, v_{i+1}) \in E$  for all  $i = 1, \dots, k - 1$ .
- A **cycle** is a path whose first and last vertices are the same.
- Two vertices are **connected** if and only if (iff.) there is a path between them.

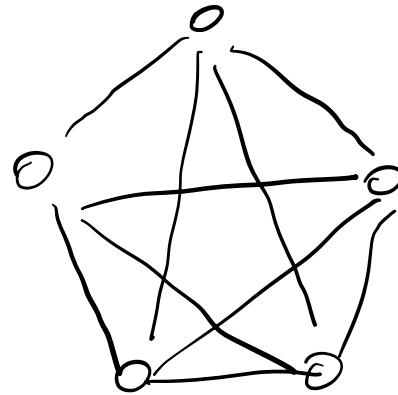
# Pop quiz

- Consider an undirected graph with  $n$  vertices and no parallel edges. Assume that the graph is connected, meaning "in one piece." What are the minimum and maximum numbers of edges, respectively, that the graph could have?

$$\text{Min \# : } (n-1)$$



$$\text{max \# : } n \cdot (n-1) / 2$$



complete graph

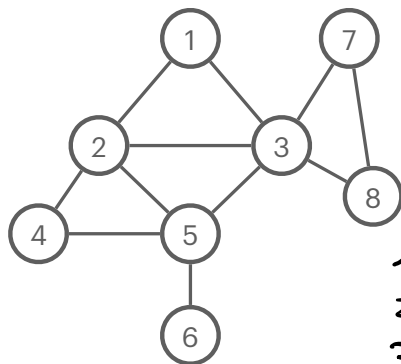
# Graph representation 1: adjacency matrix

邻接矩阵

- Given:  $G = (V, E)$ ,  $|V| = n$ ,  $|E| = m$ .
- Adjacency matrix  $A$ :  $n \times n$ ,  $A_{uv} = 1$  iff.  $(u, v) \in E$  is an edge.

## Basic properties

- Lookup an edge:  $(4, 6)$   $O(1)$
- List all neighbors: scan row  $i$ :  $O(n)$
- Space:  $O(n^2)$



$$A = \begin{bmatrix} 1 & 2 & 3 & \dots & n \\ 1 & \boxed{0} & - & \dots & - \\ 2 & - & \boxed{1} & - & - \\ \vdots & - & - & \dots & \vdots \\ n & - & - & \dots & \vdots \end{bmatrix}_{n \times n}$$

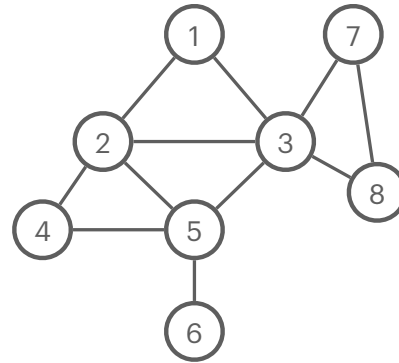
$$\begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 3 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 4 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 5 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 6 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 7 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 8 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \end{bmatrix}_{8 \times 8}$$

# Graph representation 1: adjacency matrix

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- Adjacency matrix  $A$ :  $n \times n$ ,  $A_{uv} = 1$  iff.  $(u, v) \in E$  is an edge.

- Basic properties

- Lookup an edge:  $\Theta(1)$ .
- List all neighbors:  $\Theta(n)$ .
- **Symmetric** for undirected graphs.
- Space:  $\Theta(n^2)$ , good for **dense** graphs.



# Graph representation: adjacency list

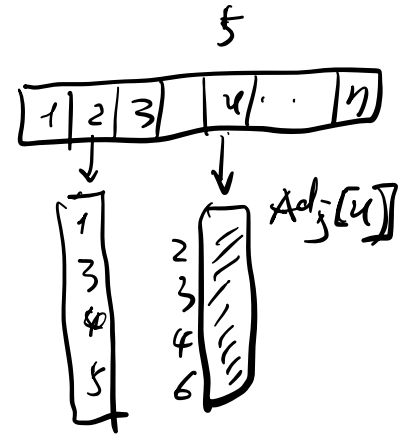
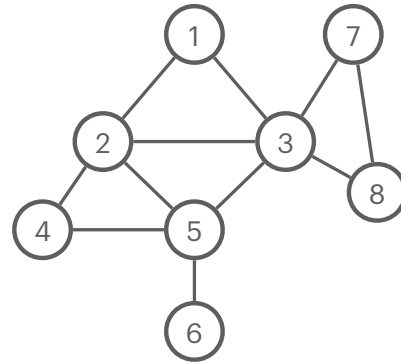
邻接表

Given:  $G = (V, E), |V| = n, |E| = m$ .

Adjacency list  $Adj: (\forall u \in V, Adj[u] = \{v : v \text{ adjacent to } u\})$ .

Basic properties

- Lookup an edge:  $(1, 4) \stackrel{?}{-} 4 \in Adj[1]$
- Space:  $O(\deg(u))$

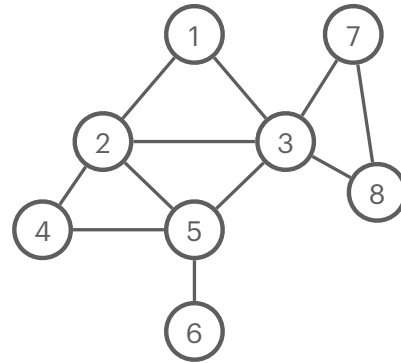


# Graph representation 1: adjacency list

- Given:  $G = (V, E)$ ,  $|V| = n$ ,  $|E| = m$ .
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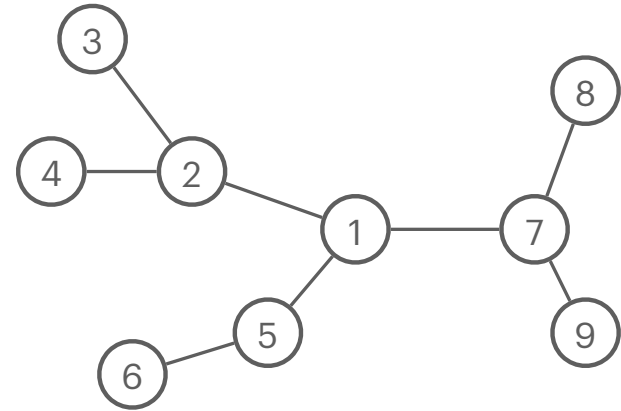
- Basic properties

- Lookup an edge:  $\Theta(\text{degree}(u))$ .
- Space:  $\Theta(m + n)$ , good for **sparse** graphs.



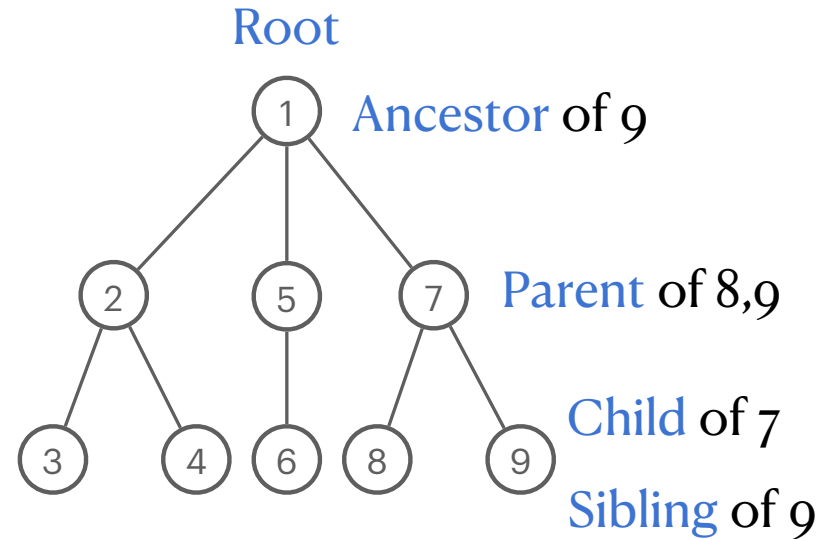
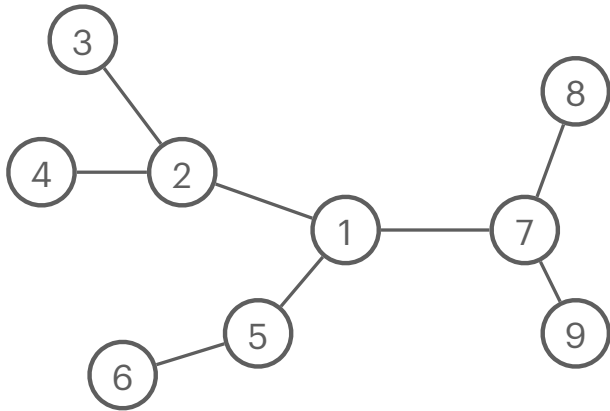
# Trees

- ⦿ A graph is connected if every pair of vertices  $u$  &  $v$  are connected.
- ⦿ A **tree** is an undirected graph that is **connected** and does **not contain a cycle**.
- ⦿ **Theorem.** Let  $G$  be an undirected graph on  $n$  nodes. Any two of the following statements imply the third.
  - $G$  is connected.
  - $G$  does not contain a cycle.
  - $G$  has  $n - 1$  edges.



# Rooted trees

- Given a tree, choose a root node  $r$ , and orient each edge away from  $r$ .
  - Models hierarchical structure.



# Exploring a graph

**Given:** vertices  $s, t \in V$ .

**Goal:** decide if there is a path from  $s$  to  $t$ .

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**Given:** vertices  $s, t \in V$ .

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Generic traverse( $s$ ):

// if  $\exists s \sim t$  path if and only if it is marked as “explored.”

1. mark  $s$  as explored, all others as unexplored
2. **While** there is an edge  $(v, w) \in E$  with  $v$  explored and  $w$  unexplored do  
    Choose some such edge  $(v, w)$  //unspecified  
    Mark  $w$  as explored

## ◎ Breadth-first search (BFS)

- Explore children in **order of distance** to start node.

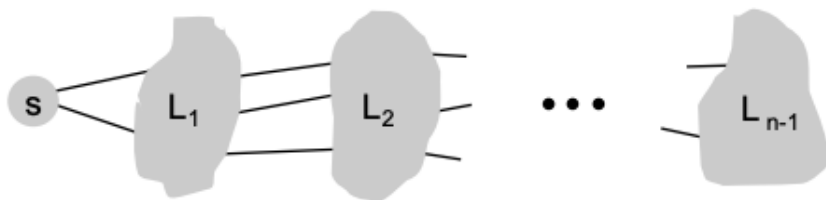
## ◎ Depth-first search (DFS)

- **Recursively** explore node's **children before** exploring **siblings**.

# **Breadth-first search (BFS)**

# Breadth-first search

- © **Intuition.** Explore outward from  $s$  in all possible directions.
  - Adding nodes one **layer** at a time.



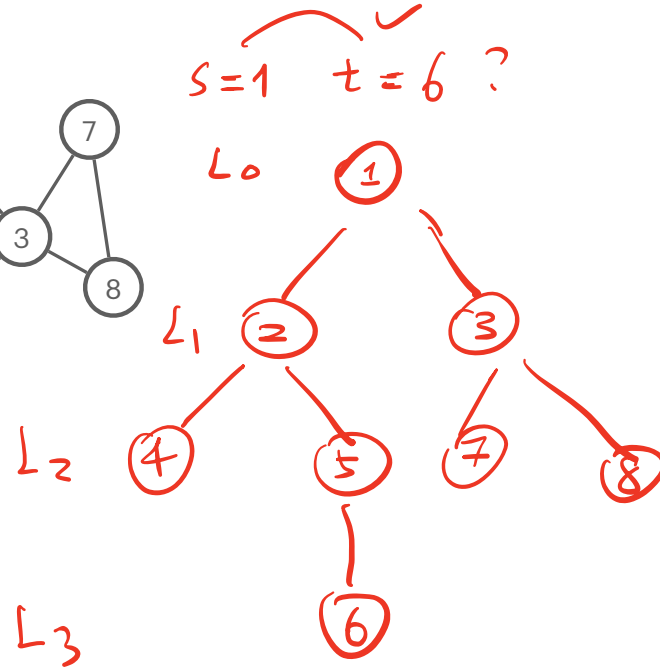
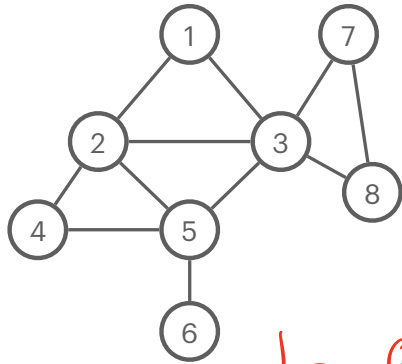
- $L_0 = \{s\}$
- $L_1 = \{\text{neighbors of } L_0\}$
- $L_2 = \{\text{neighbors of } L_1 \text{ not in } L_0 \ \& \ L_1\}$



Analogy: wave front of a ripple

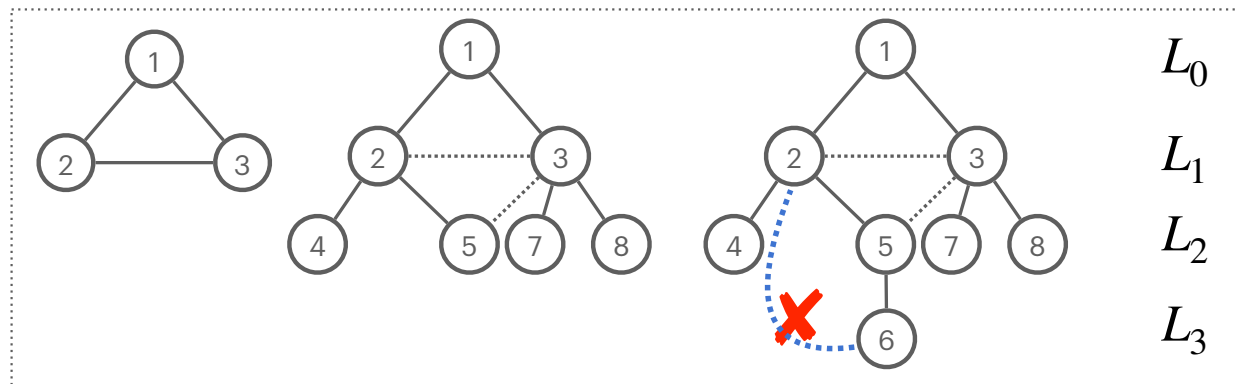
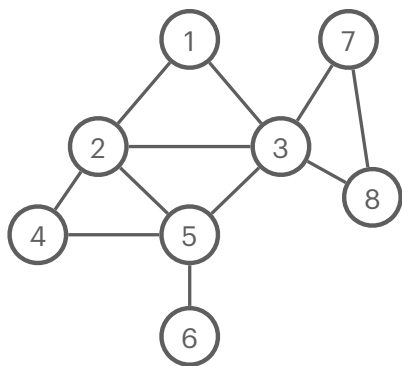
# Understanding BFS

● BFS demo.



# Understanding BFS

## ● BFS demo.

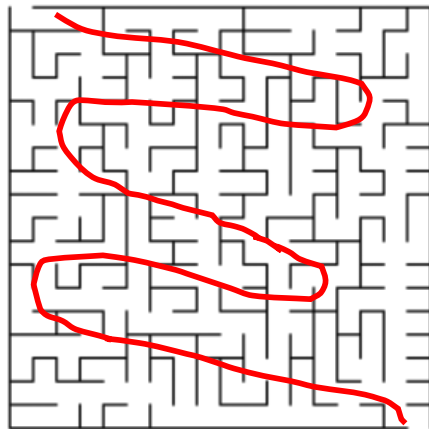


- **Running time:** linear  $O(|V| + |E|)$  [more to come]
- For each  $i$ ,  $L_i$  consists of all nodes at distance exactly  $i$  from  $s$ .
- There is a path from  $s$  to  $t$  iff.  $t$  appears in some layer.
- Let  $T$  be a BFS tree of  $G = (V, E)$ , and  $(u, v)$  an edge of  $G$ . Then the levels of  $u$  and  $v$  differ by at most 1.

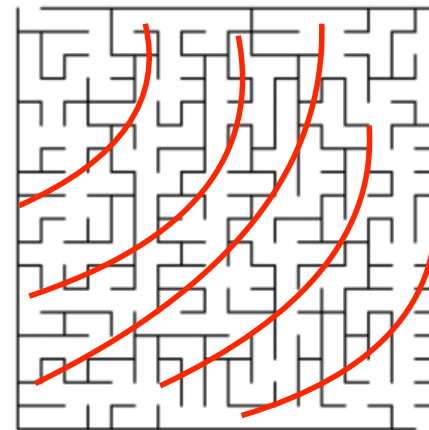
# **Depth-first search (BFS)**

# Depth-first search

- **Intuition.** Children prior to siblings.

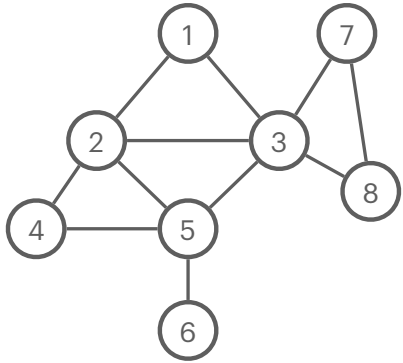


**DFS:** an impatient maze runner

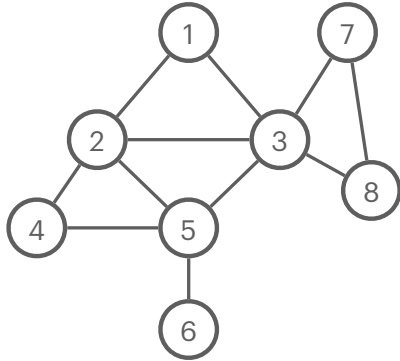


**BFS:** a patient maze runner

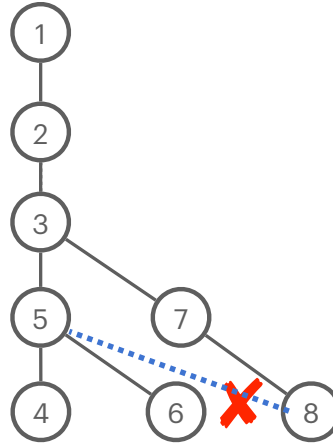
# DFS in action



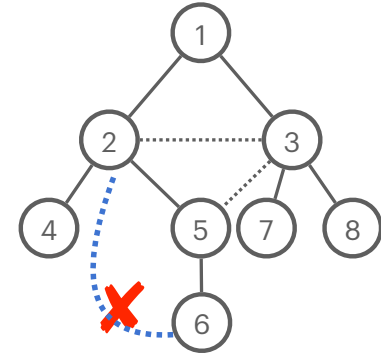
# Understanding DFS



DFS Tree



Contrast with BFS Tree



- **Running time:** linear  $O(|V| + |E|)$  [more to come]
- Let  $T$  be a DFS tree of  $G = (V, E)$ , and let  $u$  &  $v$  be nodes in  $T$ .
  - If  $(u, v)$  is an edge of  $G$  that is **not an edge of  $T$** .
  - Then one of  $u$  or  $v$  is an **ancestor** of the other.

# Implementing BFS/DFS

## ⦿ Generic traversal algorithm

1.  $R = \{s\}$
2. **While** there is an edge  $(u, v)$  where  $u \in R$  and  $v \notin R$ , add  $v$  to  $R$ .

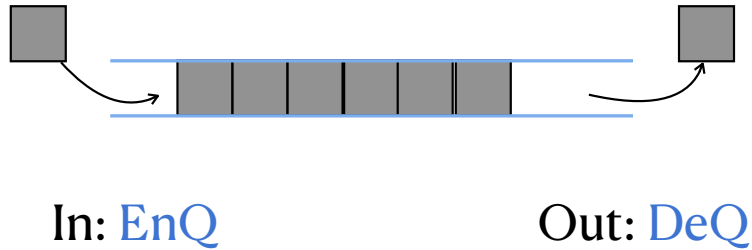
To implement it, need to choose

- ⦿ Graph representation
- ⦿ Data structure to track ...
  - Vertices already explored.
  - Edges to be followed next.

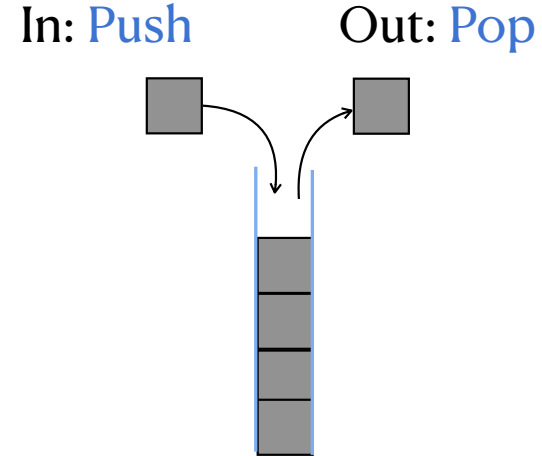
These choices affect the **order** of traversal

# Review: queue & stack

1. Queue: first-in first-out (FIFO)



2. stack: last-in first-out (LIFO)



# BFS implementation

**Input:**  $G = (V, E)$  by adjacency list  $Adj$ . Start node  $s$ .

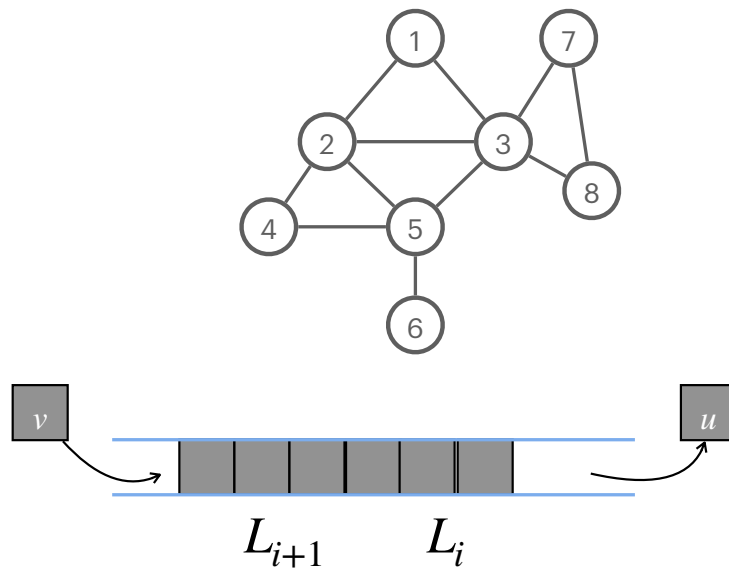
**Goal:** BFS tree  $T$  (rooted at  $s$ ).

**BFS**( $s$ ):

// **Discovered**[1,...,n] array of bits (explored or not),  
initialized to all zeros.

// **Queue**  $Q \leftarrow \emptyset$

1. Set **Discovered**[ $s$ ] = 1
2. **EnQ**( $s$ ) // add  $s$  to  $Q$
3. **While**  $Q$  not empty **DeQ**( $u$ )  
    **For** each  $(u,v)$  incident to  $u$   
        **If** **Discovered**[ $v$ ]=0 **then**  
            Set **Discovered**[ $v$ ]=1  
            Add edge  $(u,v)$  to  $T$   
            **EnQ**( $v$ )



# BFS running time

BFS( $s$ ):

// **Discovered**[1,...,n] array of bits (explored or not),  
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**For** each  $(u,v)$  incident to  $u$

**If** **Discovered**[ $v$ ]=0 **then**

            Set **Discovered**[ $v$ ]=1

            Add edge  $(u,v)$  to  $T$

**EnQ**( $v$ )

$O(1)$ , run once for all

$O(1)$ , run once **per vertex**

$O(1)$ , run  $\leq$  twice **per edge**

**Theorem.** BFS takes  $O(m + n)$  time (**linear** in input size).

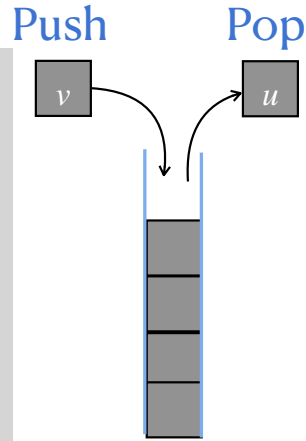
# DFS implementation

**DFS**( $s$ ):

// **Discovered**[1,...,n] array of bits (explored or not),  
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// **Stack**  $S \leftarrow \emptyset$

1. Set **Discovered**[ $s$ ] = 1
2. **Push**( $s$ ) // add  $s$  to  $S$
3. **While**  $S$  not empty **Pop**( $u$ )  
    **If** **Discovered**[ $v$ ]=0 **then**  
        Set **Discovered**[ $u$ ]=1  
        **For each** ( $u, v$ ) incident to  $u$   
            **Push**( $v$ )



**DFS**( $s$ ):

...

3. **While**  $Q$  not empty **DeQ**( $u$ )  
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            Add edge ( $u, v$ ) to  $T$   
            **EnQ**( $v$ )

**Theorem.** DFS takes  $O(m + n)$  time (linear in input size).

⊙ Exercise. How to build DFS tree  $T$  along the way?



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## **Lecture 3**

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- **Graph traversal**
- **Shortest Path**



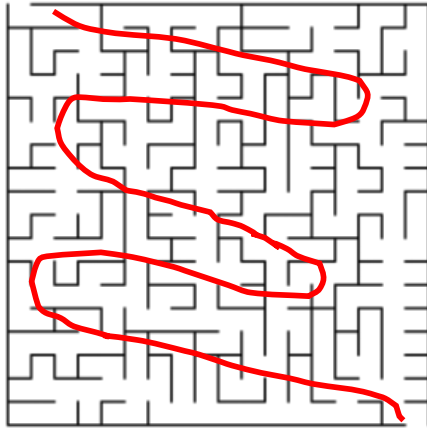
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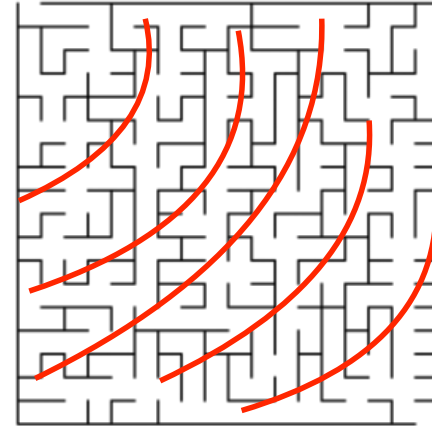
# Depth-first search (DFS)

# Depth-first search

- **Intuition.** Children prior to siblings.

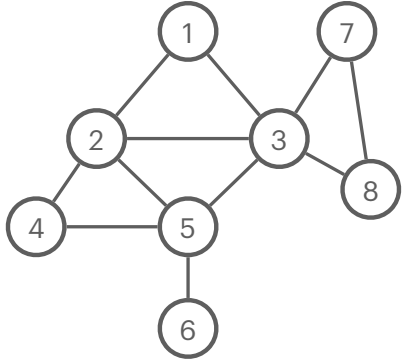


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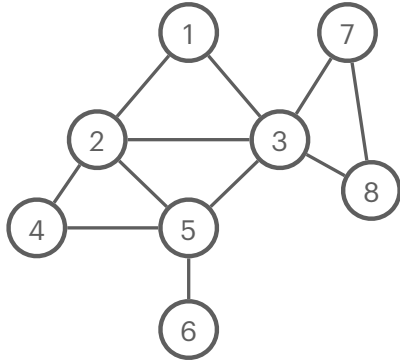


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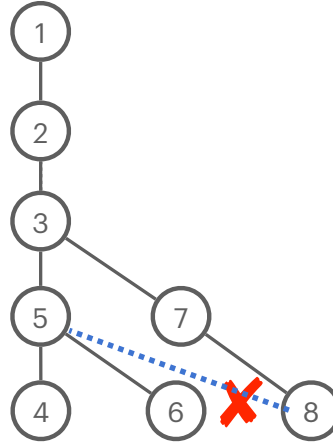
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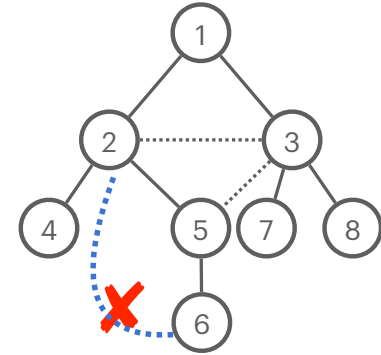
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DFS Tree



Contrast with BFS Tree



- **Running time:** linear  $O(|V| + |E|)$  [more to come]
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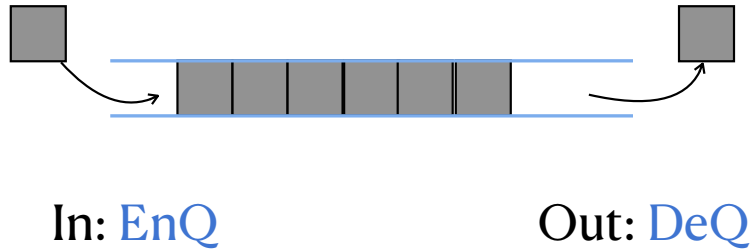
## ⦿ Data structure to track ...

- Vertices already explored.
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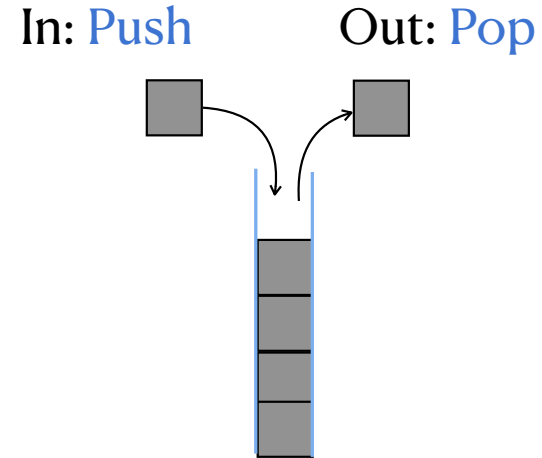
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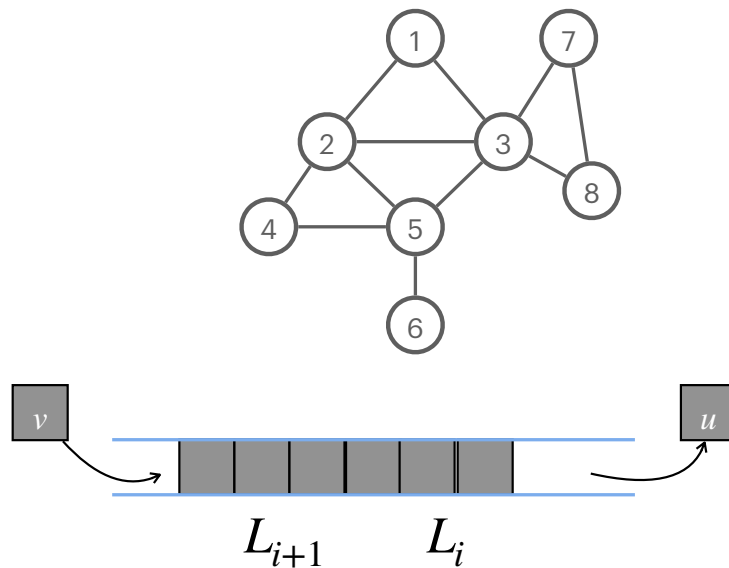
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2. **EnQ**( $s$ ) // add  $s$  to  $Q$
3. **While**  $Q$  not empty **DeQ**( $u$ )  
    **For** each  $(u,v)$  incident to  $u$   
        **If** **Discovered**[ $v$ ]=0 **then**  
            Set **Discovered**[ $v$ ]=1  
            Add edge  $(u,v)$  to  $T$   
            **EnQ**( $v$ )



# BFS running time

BFS( $s$ ):

// **Discovered**[1,...,n] array of bits (explored or not),  
initialized to all zeros.

// **Queue**  $Q \leftarrow \emptyset$

1. Set **Discovered**[ $s$ ] = 1

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**EnQ**( $v$ )

]

$O(1)$ , run once for all

—

$O(1)$ , run once **per vertex**

]

$O(1)$ , run  $\leq$  twice **per edge**

**Theorem.** BFS takes  $O(m + n)$  time (**linear** in input size).

# DFS implementation

D

DFS( $s$ ):

// **Discovered**[1,...,n] array of bits (explored or not),  
initialized to all zeros.

// **Stack**  $S \leftarrow \emptyset$

1. Set **Discovered**[ $s$ ] = 1

2. **Push**( $s$ ) // add  $s$  to  $S$

3. **While**  $S$  not empty **Pop**( $u$ )

**If** **Discovered**[ $v$ ] = 0 **then**

        Set **Discovered**[ $u$ ] = 1

**For each** ( $u, v$ ) incident to  $u$

**Push**( $v$ )

**Push**



**Pop**



BFS( $s$ ):

...

3. **While**  $Q$  not empty **DeQ**( $u$ )

**For each** ( $u, v$ ) incident to  $u$

**If** **Discovered**[ $v$ ] = 0 **then**

            Set **Discovered**[ $v$ ] = 1

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**EnQ**( $v$ )

**Theorem.** DFS takes  $O(m + n)$  time (linear in input size).

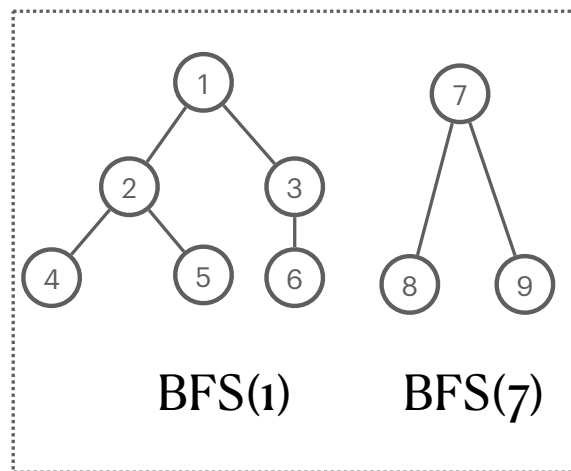
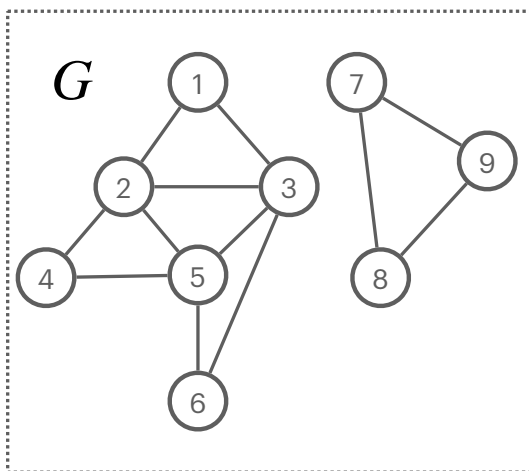
⊙ Exercise. How to build DFS tree  $T$  along the way?

# **BFS/DFS applications**

# Connected components

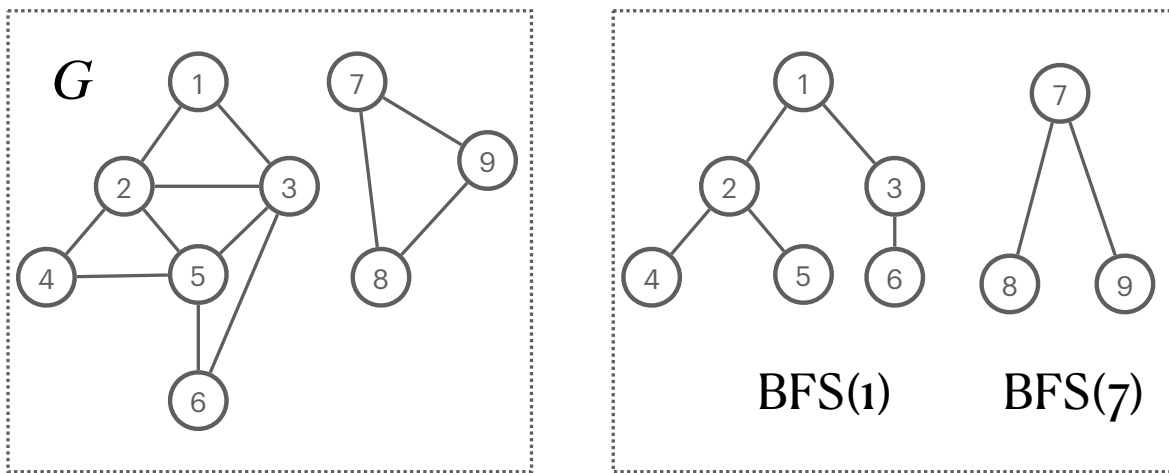
- ⊙ B/DFS tell more than  $s$ - $t$  connectivity.

Connected component of  $G$  containing  $s$ :  
all nodes reachable from  $s$ .



- ⊙ Claim. For any two nodes  $s$  and  $t$ , their connected components are either identical or disjoint.

# The set of all connected components

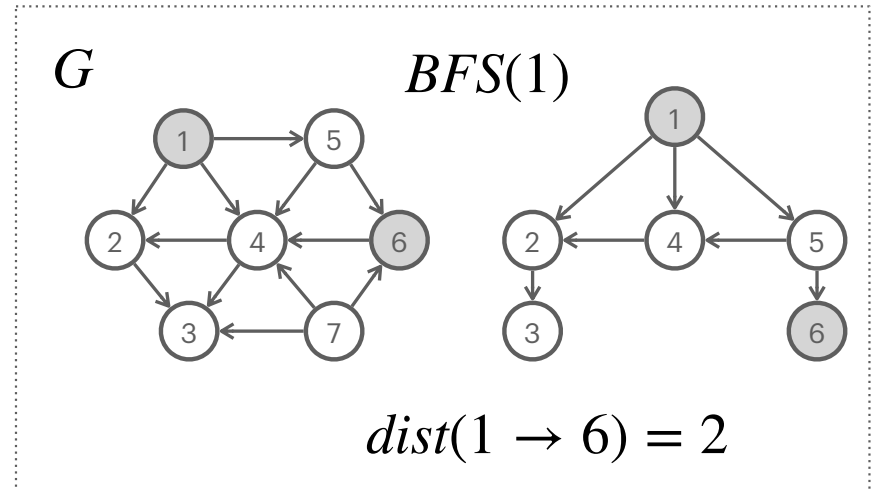
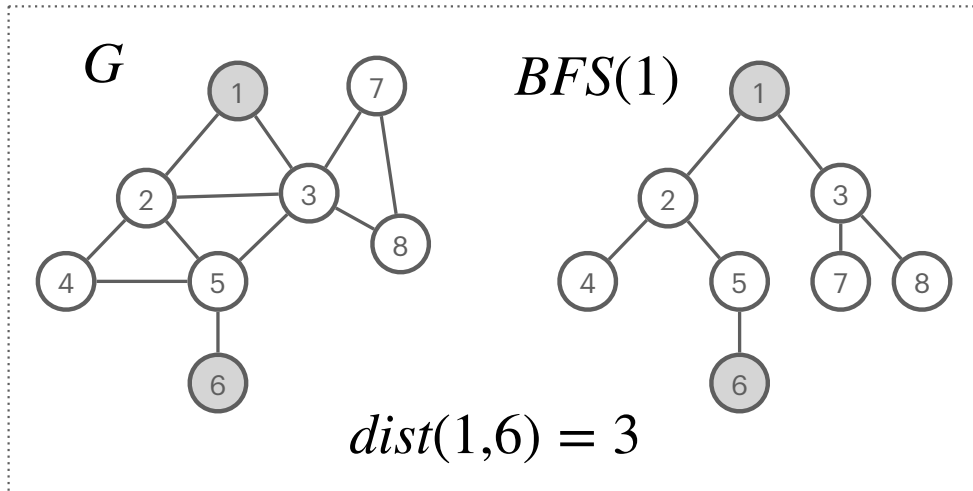


- How to find all?
  - Iterate over  $V$ , run B/DFS.
- How fast?
  - $\sum_i n_i + m_i = O(m + n)$ .
- Why care?
  - Basic topology about  $G$ .

# Shortest path in a graph

**Input:** Graph  $G$ , nodes  $s$  and  $t$ .

**Output:**  $dist(s, t)$ .



# Shortest Path Problem

# Shortest path in a weighted graph

## ⊙ Weighted graphs

- Every edge has a length  $\ell_e$ .
- Length of a path  $\ell(P) = \sum_{e \in P} \ell_e$ .
- Distance  $dist(s, t) = \min_{P: s \rightsquigarrow t} \ell(P)$ .

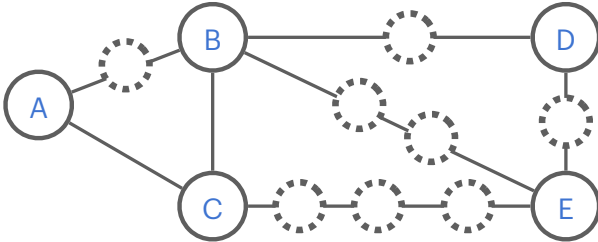
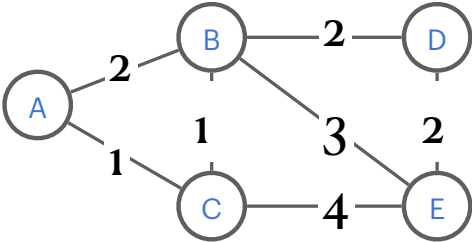
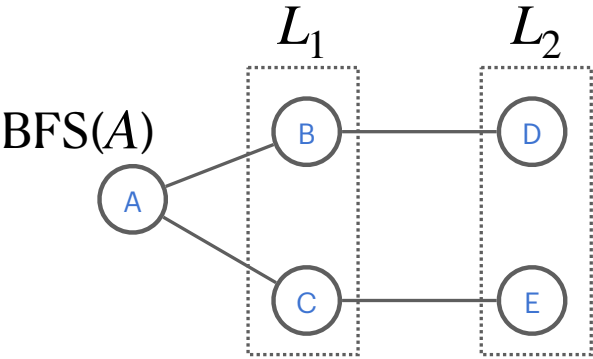
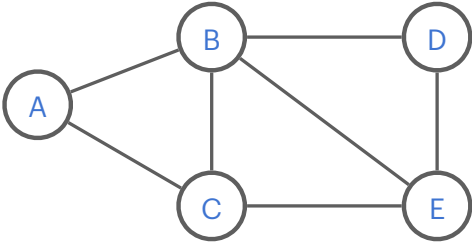
⊙  $\forall e \in E, \ell(e) = 1$ : BFS solves it.

⊙ How to solve weighted case?

## ⊙ Length function: $\ell : E \rightarrow \mathbb{Z}$

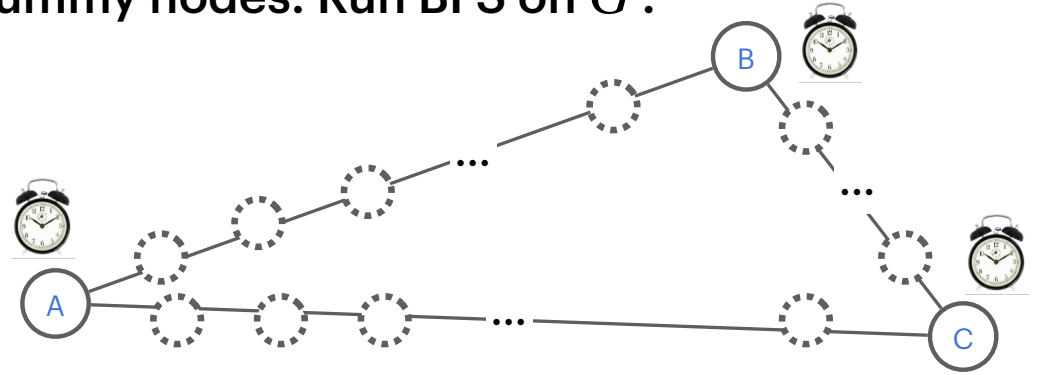
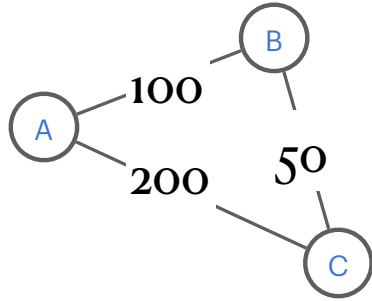
- $\ell(u, v) = \infty$  if not an edge
- Model time, distance, cost ...
- Can be **negative**: fund transfer, heat in chemistry reaction ...  
[Later]

# Reducing to BFS



# An alarm-clock algorithm

- **Idea.** convert  $G$  to  $G'$  by inserting dummy nodes. Run BFS on  $G'$ .



AlarmSP( $G, s$ ):

// set alarm clock for  $s$  at time 0

Repeat until no more alarms

// Suppose next alarm goes off at  $T$  for node  $u$

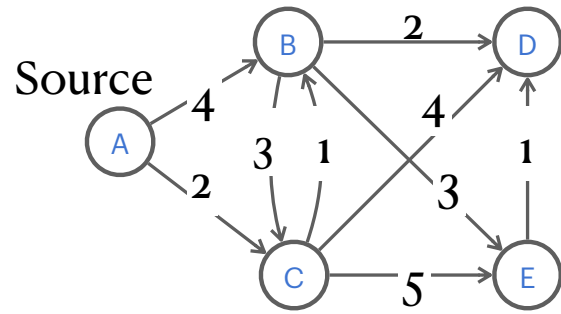
$dist(s, u) \leftarrow T$

For each neighbor  $v$  of  $u$

If no alarm for  $v$ , set one for time  $T + \ell(u, v)$

Else If current alarm larger, reset it for time  $T + \ell(u, v)$

# Demo





## Lecture 4

---

- Dijkstra's Shortest Path Algorithm
- Minimum Spanning Tree



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# Shortest Path Problem

# Shortest path in a weighted graph

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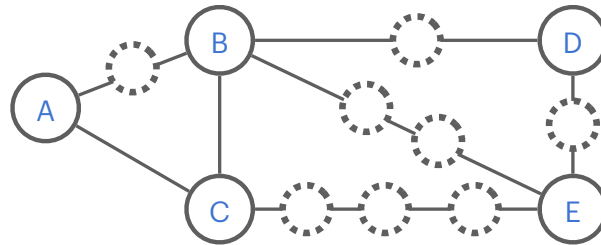
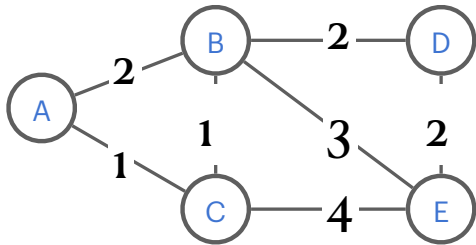
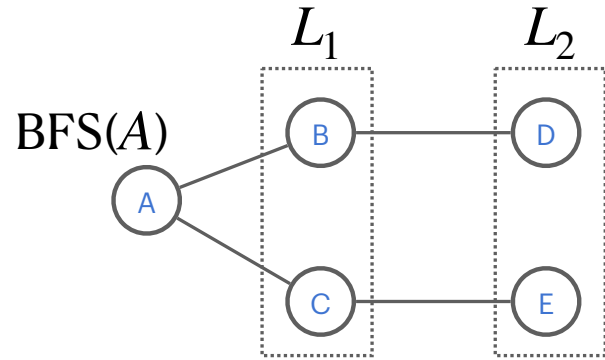
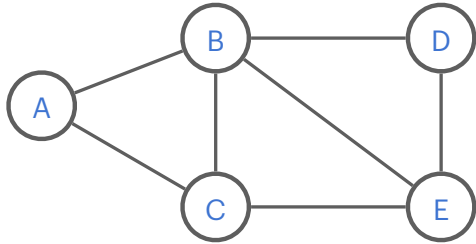
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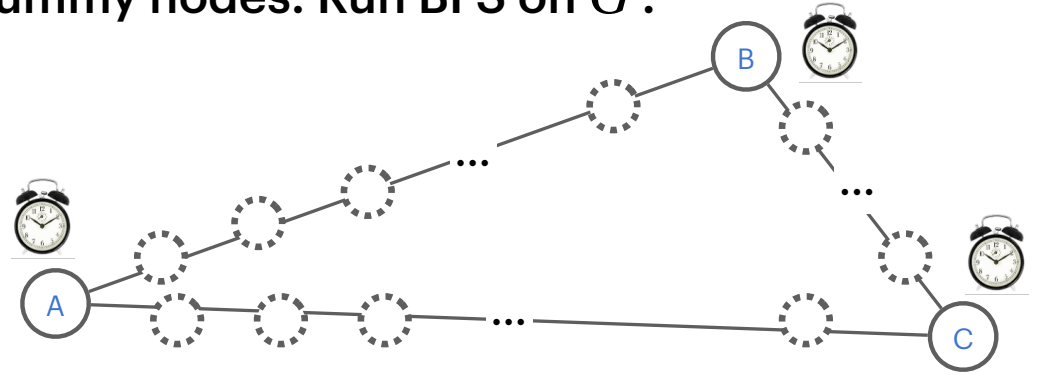
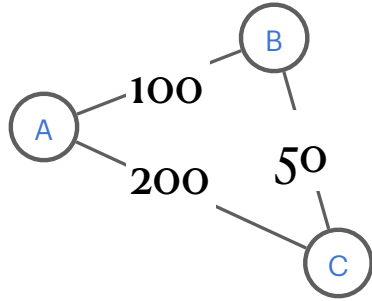
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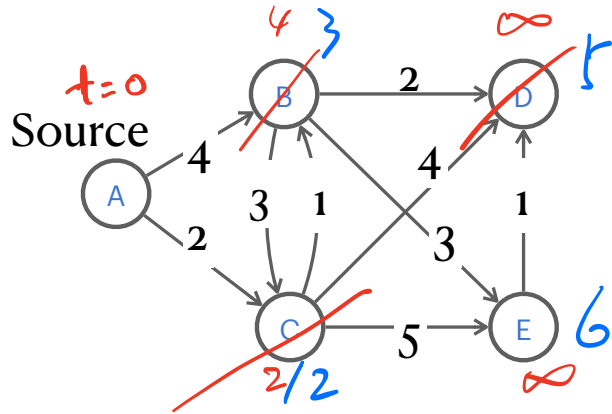
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**Else If** current alarm larger, reset it for time  $T + \ell(u, v)$

# Demo



$t=2$  Alarm C sets off.

B: Alarm update  $4 \rightarrow 2+1=3$

D: Alarm  $\infty \rightarrow 2+4=6$

E:  $\infty \rightarrow 2+5=7$

$t=3$  Alarm B sets off.

D: update  $6 \rightarrow 3+2=5$

E: update  $7 \rightarrow 3+3=6$

$t=5$  Alarm D sets off.

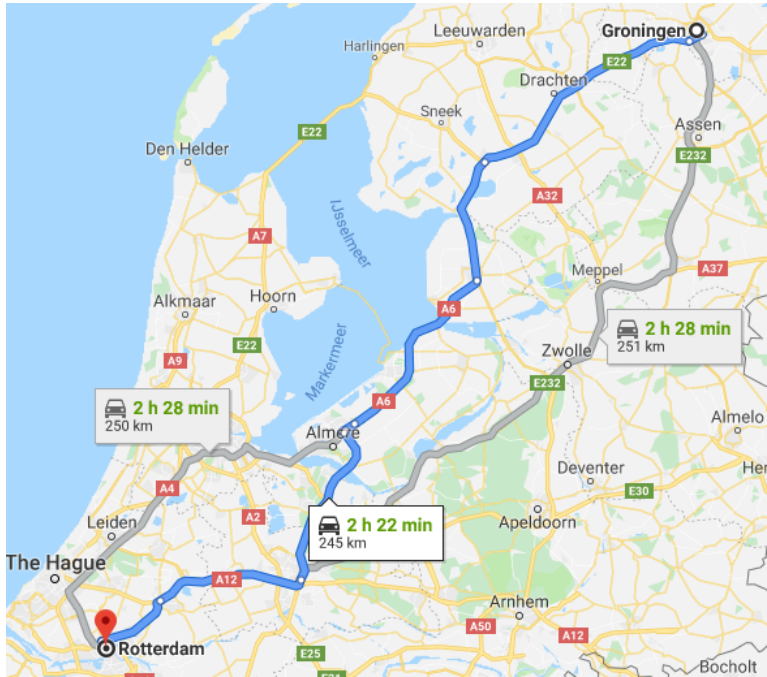
E: no update.

$t=6$  E sets off.



# Edsger W. Dijkstra

- Pioneer in graph algorithms, distributed computing, concurrent computing, programming ...



“What's the shortest way to travel from Rotterdam to Groningen? It is the algorithm for the shortest path, which I designed in about **20 minutes**. One morning I was shopping in Amsterdam with my young fiancée, and tired, we sat down on the café terrace to drink a cup of coffee and I was just thinking about whether I could do this, and I then designed the algorithm for the shortest path.”

<https://cacm.acm.org/magazines/2010/8/96632-an-interview-with-edsger-w-dijkstra/fulltext>

# Dijkstra's algorithm: priority queue for alarms

- ◎ **PriorityQueue Q**: set of elements w. associated key values (alarm)
  - Change-key( $x$ ). change key value of an element.
  - Delete-min. Return the element with smallest key, and remove it.
  - Can be done in  $O(\log n)$  time (by a heap).

**Dijkstra**( $G, s$ ):

// initialize  $d(s) = 0$ , others  $d(u) = \infty$

1. Make  $Q$  from  $V$  using  $d(\cdot)$  as key value

2. **While**  $Q$  not empty

$u \leftarrow$  Delete-min( $Q$ )

    // pick node with shortest distance to  $s$

**For** all edges  $(u, v) \in E$

**If**  $d(v) > d(u) + \ell(u, v)$

$d(v) \leftarrow d(u) + \ell(u, v)$  and Change-key( $v$ )

Dijkstra:  $O((m + n)\log n)$

Further improvement possible  
by Fibonacci heap

NB. BFS uses ordinary Queue.

Dijkstra = BFS w/ priority queue

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2. **While**  $Q$  not empty  $O(n \log n)$   
     $u \leftarrow$  Delete-min( $Q$ )

// pick node with shortest distance to  $s$

**For** all edges  $(u, v) \in E$   $O(m \log n)$   
    **If**  $d(v) > d(u) + \ell(u, v)$   
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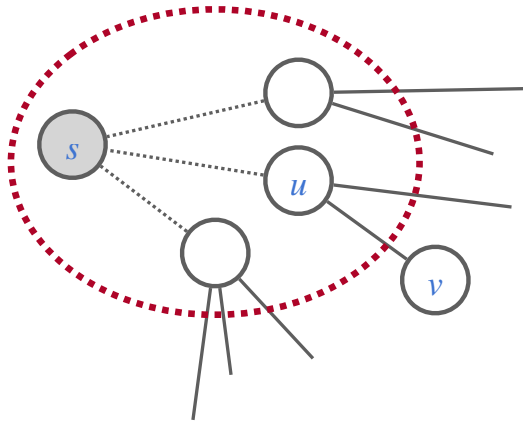
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NB. BFS uses ordinary Queue.

Dijkstra = BFS w/ priority queue

# Reflection on Dijkstra: **greedy** stays ahead

- ⦿ **Known region  $R$** : in which the shortest distance to  $s$  is known.
- ⦿ **Growing  $R$** : adding  $v$  that has the shortest distance to  $s$ .
- ⦿ **How to identify  $v$** : the one that minimizes  $d(u) + \ell(u, v)$ 
  - Shortest path to some  $u$  in known region, followed by a single edge  $(u, v)$ .



Dijkstra( $G, s$ ):

// initialize  $d(s) = 0, d(u) = \infty, R = \emptyset$

1. **While**  $R \neq V$

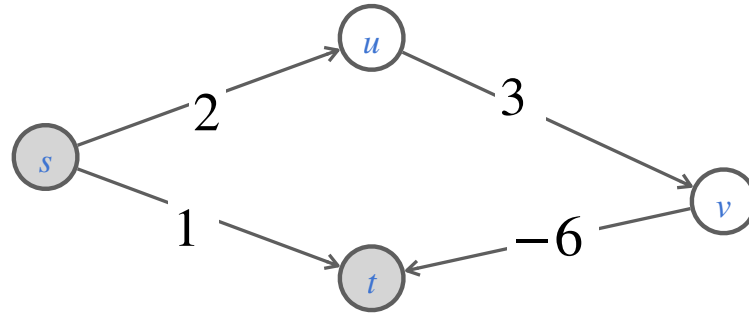
    pick  $v \notin R$  w. **smallest**  $d(v)$  // by priority q  
    add  $v$  to  $R$

**For** all edges  $(v, w) \in E$

**If**  $d(v) > d(u) + \ell(u, v)$

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# How it fails on negative lengths



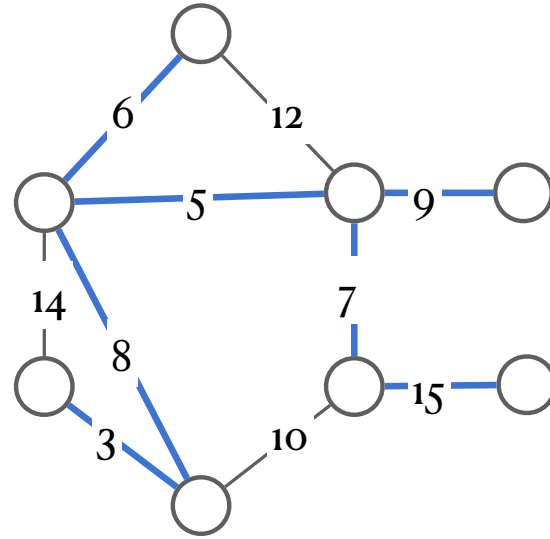
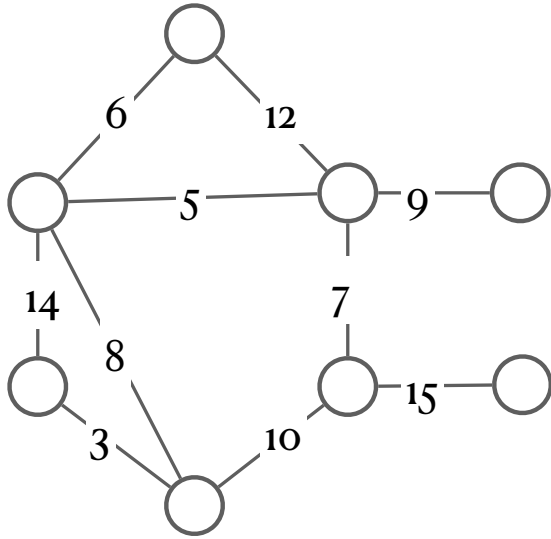
Jumping to a short one **too early!**

# Minimum Spanning Tree

# Minimum Spanning Tree (MST)

- **Input.** A connected undirected graph  $G = (V, E)$ 
  - Weight function  $w : E \rightarrow R$
  - Assuming all weights are distinct
- **Output.** A spanning tree  $T$  of minimum weight.
  - Spanning tree: a tree that **connects all nodes**.
  - $w(T) := \sum_{(u,v) \in T} w(u, v)$
- **Applications.**
  - Cluster, Real-time face verification
  - Network design (communication, electrical, computer, road).

# Example of MST



# Pop quiz

© Which of the following are true for all spanning trees?

- A. Contains  $m - 1$  edges ( $n - 1$ )
- B. The removal of any edge disconnects it.
- C. The addition of any edge creates a cycle.
- D. All of the above.

## Caley's theorem

The complete graph on  $n$  nodes has  $n^{n-2}$  spanning trees

[ $\Rightarrow$ brute-force search forbidden]

## Brainstorming

Greedy strategies for computing an MST?

Idea 1:  $\rightarrow$  Start w/ a node  $u$   
 $\rightarrow$  add node  $v$  s.t.  $w(u,v)$  smallest among  $w(u,t)$

Idea 2: Remove edges w/ largest weight.

Idea 3: add edges w/ smallest weight.

# Greedy algorithms for MST

- ◎ **Kruskal.** Start with  $T = \emptyset$ . Insert edges in **ascending** order of weights.
  - Unless: it creates a **cycle**
- ◎ **Reverse-Delete.** Start with  $T = E$ . Remove edges in **descending** order of weights.
  - Unless: it **disconnects**  $T$
- ◎ **Prim.** Start with some node  $s$ . (Grow a tree  $T$  from  $s$  outward)
  - Add  $v$  to  $T$  such that  $w(u, v)$  **cheapest** and  $u \in T$ . Sounds familiar? (Dijkstra)

In this extremely lucky case, all of them work!

Proving correctness is non-trivial (stay tuned till senior year).

# Implementing Prim

- ◎ Maintain  $V - T$  as a priority queue [as in Dijkstra's]
  - $\text{key}(x)$ : weight of the **least-weight edge** connecting to a node in  $T$ .

Prim $(G, \{w_e\})$ :

1. Make  $Q$  from  $V$   
//  $\text{key}(s) = 0$ , otherwise  $\text{key}(v) = \infty$
2. **While**  $Q$  not empty
  - $u \leftarrow \text{Delete-min}(Q)$
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// consider all neighbors of  $u$ 
    - If**  $v \in Q$  and  $w(u, v) < \text{key}[v]$ 
      - $\text{key}[v] \leftarrow w(u, v)$  and  $\text{Change-key}(v)$
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3. **Return**  $T \leftarrow \{v.\text{parent}(v)\}$

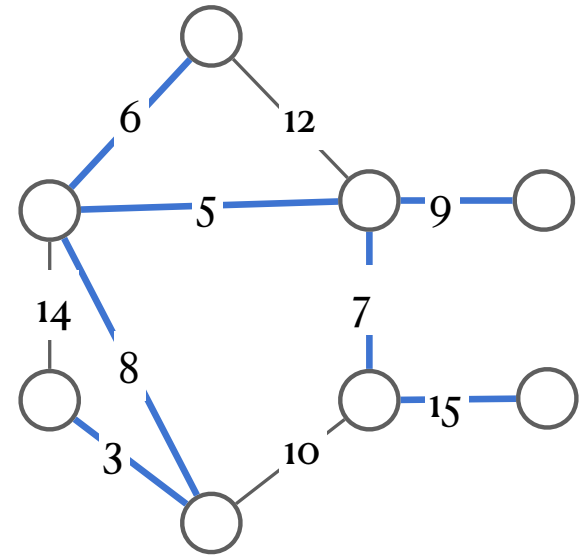
Time:  $O((m + n)\log n)$

Same as Dijkstra's

# Implementing Kruskal

◎ Using a new data structure: disjoint-set (aka Union-Find)

- $\text{Make-set}(x)$ : create a singleton set containing  $x$ .
- $\text{Find-set}(x)$ : return the name of the unique set containing  $x$ .
- $\text{Union-set}(x,y)$ : merge the sets containing  $x, y$ .



# Warning on greedy algorithms

## Correctness



Greedy algorithms are tempting but rarely works.

Proceed with care!



## Lecture 5

---

- MST cont'd
- Revisit Shortest Path
- Longest path and NP-hardness



**Fang Song 宋方**

Portland State University

# **MST cont'd**

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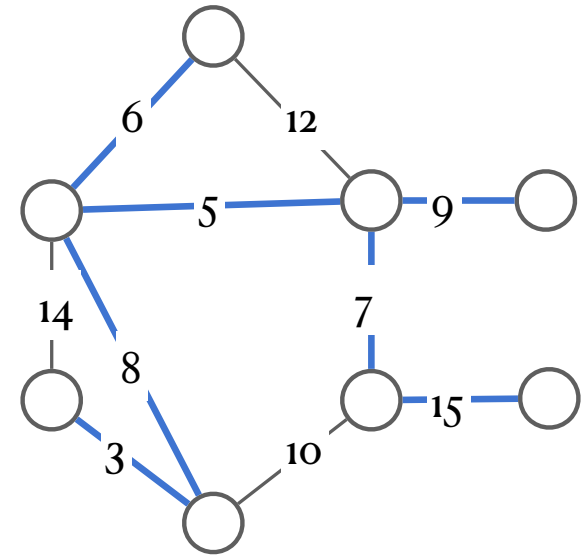
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# Shortest path revisited

# Shortest path in a weighted graph

## ⊙ Weighted graphs

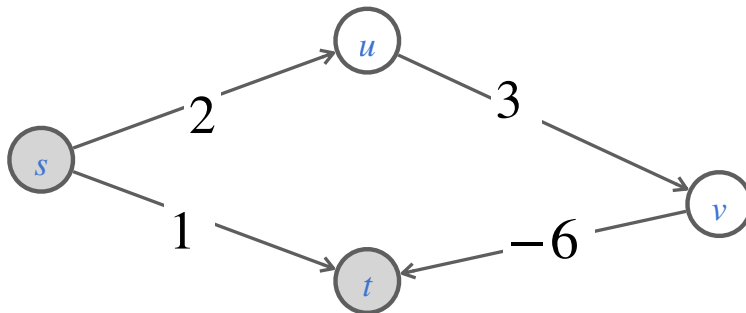
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## ⊙ Dijkstra **fails** on negative lengths

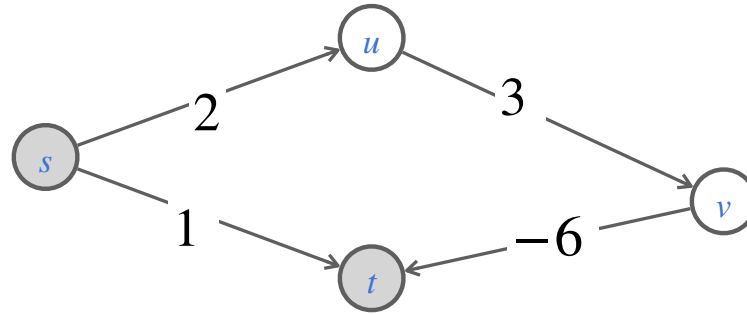
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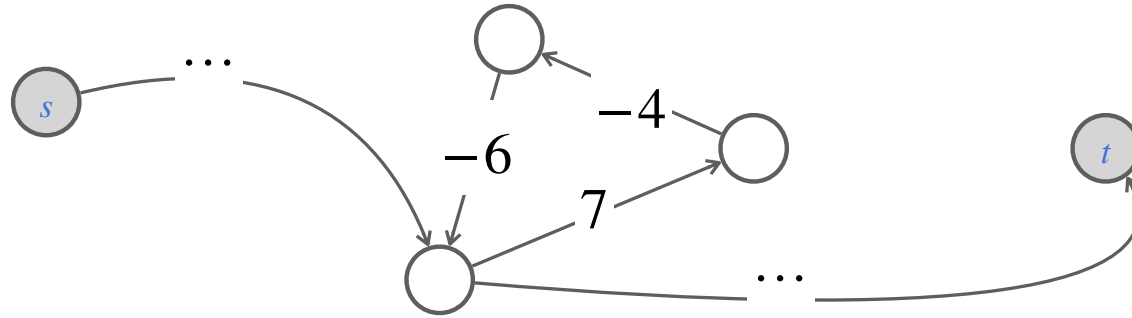


# Dijkstra fails on negative lengths



Jumping to a short one **too early!**

# A technical issue: negative length cycles



## ● Observation

- If some  $s \rightsquigarrow t$  path contains a **negative length cycle**, there **does not** exist a shortest  $s \rightsquigarrow t$  path.
  - Otherwise there exists a **simple** (i.e., no repetition node) path  $\leq n - 1$  edges.
- ## ● For simplicity, assuming $G$ has no NegativeLengthCycle
- Can be detected with little overhead.

# Dynamic programming

Indispensable technique for **optimization** problems.

- Many soln's, each has a value.
  - Find a solution with optimal (min or max ) value
- ◎ Break up a problem into a series of **overlapping** subproblems.
- ◎ There is an **ordering** on the subproblems, and a **relation** showing how to solve a subproblem given answers to “**smaller**” subproblems (i.e., those appear **earlier** in the ordering).

# DP1: develop a recurrence

- ◎ Simplification: look at the length of a shortest path.
- ◎ 1.a **Specification**. What problems to solve?
  - **Definition**.  $OPT(i, v) :=$  length of shortest  $v \rightsquigarrow t$  path  $P$  using  $\leq i$  edges.
  - **Goal**. Find  $OPT(n - 1, s)$ .
- ◎ 1.b **Recursion**. Recurrence to solve a subproblem from smaller ones.
  - **Base**.  $OPT(i, v) = 0$  if  $v = t$  or  $\infty$  if  $i = 0$ .
  - How to compute  $OPT(i, v)$  recursively?

# DP1: develop a recurrence, cont'd

$OPT(i, v) :=$  length of shortest  $v \rightsquigarrow t$  path  $P$  using  $\leq i$  edges.

- **Case 1.**  $P$  uses at most  $i - 1$  edges.  $OPT(i, v) = OPT(i - 1, v)$
- **Case 2.**  $P$  uses exactly  $i$  edges.
  - If  $(v, w)$  is the first edge, then  $OPT$  uses  $(v, w)$  and then select best  $w \rightsquigarrow t$  path using  $\leq i - 1$  edges.
  - $OPT(i, v) = \min_{v \rightarrow w \in E} \{OPT(i - 1, w) + \ell_{v \rightarrow w}\}$

$$OPT(i, v) = \begin{cases} 0, & \text{if } v = t \\ \infty, & \text{if } i = 0 \\ \min\{OPT(i - 1, v), \min_{v \rightarrow w \in E} \{OPT(i - 1, w) + \ell_{v \rightarrow w}\}\}, & \text{otherwise} \end{cases}$$

# DP2: build up solutions

	$V$	$t$	$s$	$v$	$v_n$				
$i$	0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
1	0								
	0								
	0								
$i$	0								
	0								
$n - 1$	0								

- Subproblems.  $O(n^2)$
- Memoization data structure
  - 2-D array  $M[0, \dots, n - 1, v_1, \dots, v_n]$ .
- Dependencies
  - Each  $OPT(i, v)$  depends on **subproblems in the row above**.
- Evaluation order
  - Row by row, arbitrary within a row.

$$OPT(i, v) = \begin{cases} 0, & \text{if } v = t \\ \infty, & \text{if } i = 0 \\ \min\{OPT(i - 1, v), \min_{v \rightarrow w \in E} \{OPT(i - 1, w) + \ell_{v \rightarrow w}\}\}, & \text{otherwise} \end{cases}$$

# DP2: build up solutions, cont'd

	$V$	$t$		$s$		$v$			$v_n$
$i$	0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
	1	0							
		0							
		0							
$i$		0							
		0							
		0							
$n-1$		0							

SPLen( $G, s, t$ ):

//  $M[i, v]$  store subproblem values

//  $M[0, t] = 0, M[0, v] = \infty$  otherwise.

1. For  $i = 1, \dots, n - 1$  // row by row

2. For  $v \in V$  // arbitrary order

$M[i, v] \leftarrow M[i - 1, v]$  // case 1

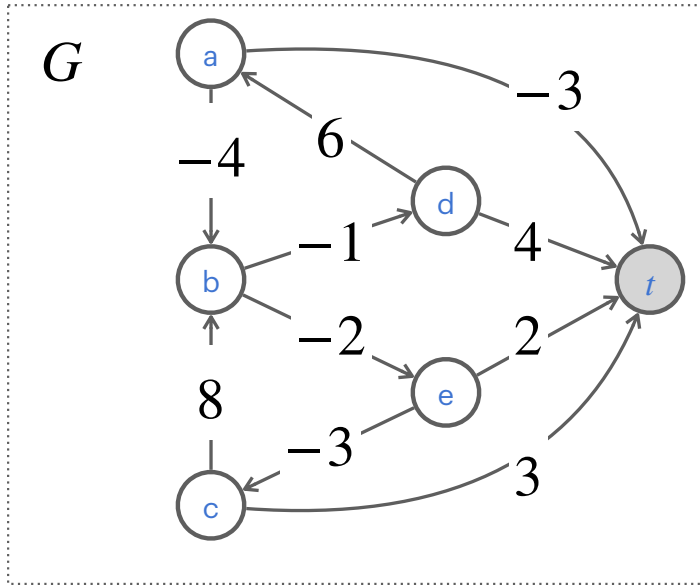
For edge  $v \rightarrow w \in E$  // case 2

$M[i, v] \leftarrow \min\{M[i, v], M[i - 1, w] + \ell_{vw}\}$

3. Return  $M[n - 1, s]$



# Example



$V$	$t$	A	B	C	D	E
$i$ 0	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
1	0	-3		3	4	2
2	0	-3	0	3	3	0
3	0	-4	-2	3	3	0
4	0	-6	-3	3	2	0
5	0	-6	-2	3	0	0

For  $v \in V$  // arbitrary order

$M[i, v] \leftarrow M[i - 1, v]$  // case 1

For edge  $v \rightarrow w \in E$  // case 2

$M(i, j) \leftarrow \min\{M[i, v], M[i - 1, w] + \ell_{vw}\}$

# Single-source shortest path with negative weights

Year	Worst case	Discovered by
1955	$O(n^4)$	Shimbel
1956	$O(mn^2W)$	Ford
1958	$O(mn)$	Bellman, Moore
1983	$O(n^{3/4}m \log W)$	Gabow
1989	$O(mn^{1/2} \log(nW))$	Gabow-Tarjan
1993	$O(mn^{1/2} \log W)$	Goldberg
2005	$O(n^{2.38}W)$	Sankowski, Yuster-Zwisch
2016	$O(n^{10/7} \log W)$	Cohen-Madry-Sankowski-Vladu
20XX	???	you?

Weights between  $[-W, W]$

# Historic note on dynamic programming



## THE THEORY OF DYNAMIC PROGRAMMING

RICHARD BELLMAN

1. **Introduction.** Before turning to a discussion of some representative problems which will permit us to exhibit various mathematical features of the theory, let us present a brief survey of the fundamental concepts, hopes, and aspirations of dynamic programming.

To begin with, the theory was created to treat the mathematical problems arising from the study of various multi-stage decision processes, which may roughly be described in the following way: We have a physical system whose state at any time  $t$  is determined by a set of quantities which we call state parameters, or state variables.

## © Richard Bellman

- DP [1953] @RAND
- B-Ford algorithm for general shortest path (stay tuned!)
- Curse of dimensionality
- ...

## © Etymology

- Dynamic programming = **planning** over time
- Secretary of Defense was hostile to mathematical research
- Bellman sought an impressive name to avoid confrontation

"it's impossible to use dynamic in a pejorative sense"

"something not even a Congressman could object to"

Reference: Bellman, R. E. Eye of the Hurricane, An Autobiography.

## Extension: all pairs shortest path

**Input:** Graph  $G = (V, E)$ , a real-valued length  $\ell_e$  for each edge  $e \in E$ .

**Output:**  $dist(u, v)$  for every  $u, v \in V$ .

⦿ For simplicity, assuming  $G$  has no NegativeLengthCycle

- Can be detected with little overhead.

⦿ Reducing to single-source shortest path

- How many invocations of Bellman-Ford?  $O(n)$

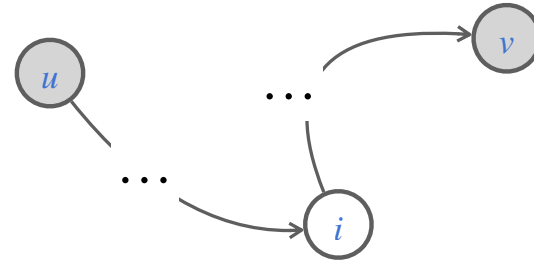
⇒ Total time:  $O(n \cdot m \cdot n) = O(n^4)$  if  $m = O(n^2)$

# Floyd-Warshall algorithm

- Key idea: restrict the **identities of the nodes** allowed in a solution
- 1.a Specification of subproblems** [in B-F: using  $\leq i$  edges]
  - $L_{i,u,v}$  := length of shortest  $u \rightsquigarrow v$  path  $P$  using only nodes in  $\{1, \dots, i\}$ .
- 1.b Recursion.** Recurrence to solve a subproblem from smaller ones.

- Base.**

- $$L_{i,u,v} := \min \left\{ \begin{array}{ll} L_{i-1,u,v} & \text{(case 1)} \\ L_{i-1,u,i} + L_{i-1,i,v} & \text{(case 2)} \end{array} \right\}$$



- Time:**  $O(n^3)$

# **Longest path & Travel salesperson**

# Longest path problem (LPP)

**Input:** Graph  $G = (V, E)$ , a real-valued length  $\ell_e$  for each edge  $e \in E$ .

**Output:** longest path distance  $ldist(s, t)$ .

⊙ Reducing to shortest path?  $\ell_e \mapsto -\ell_e$

⊙ Easy case: Directed Acyclic Graphs (DAGs)

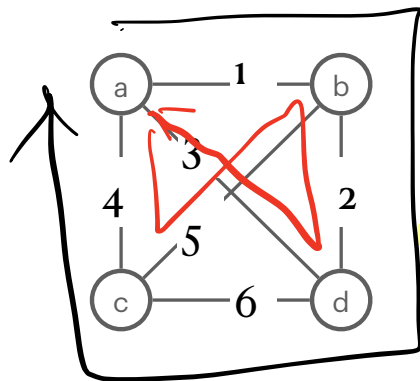
⇒ Application in critical path analysis: total time for completing a project with dependent milestones (e.g., completing college degree)

# Travel salesperson problem (TSP)

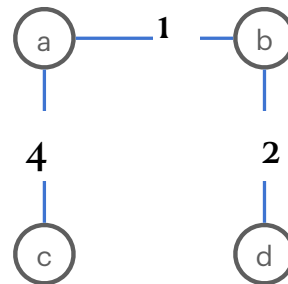
**Input:** A complete graph  $G = (V, E)$ , a real-valued cost  $\ell_e$  for each  $e \in E$ .

**Output:** A **tour**  $T$  of  $G$  with the **minimum**  $\sum_{e \in T} \ell_e$  of edge costs.

Tour: A **cycle** that spans all nodes



$T$	$\ell(T)$
abcd / adcb	$1+5+6+3 = 15$
abdc / acdb	$1+2+6+4 = 13$
acbd / adbc	$4+5+2+3 = 14$



© Contrast with MST: minimum cost spanning **tree**

# Pop quiz

⊙ Consider  $G = (V, E)$  with  $n \geq 3$  nodes (undirected, complete), how many distinct tours  $T \subseteq E$  are there?

A.  $2^n$

B.  $n! = n \cdot (n - 1) \cdot \dots \cdot 2 \cdot 1$

C.  $\frac{1}{2}(n - 1)!$

D.  $n$

# Algorithms for LPP & TSP

**Fact:** as of this lecture (April 2026), there is no known fast (i.e., polynomial-time in input size) algorithm for LPP and TSP.

**Speculation:** No fast algorithm for LPP or TSP exist.

Both LPP and TSP are named **NP-hard** problems by complexity theorists.

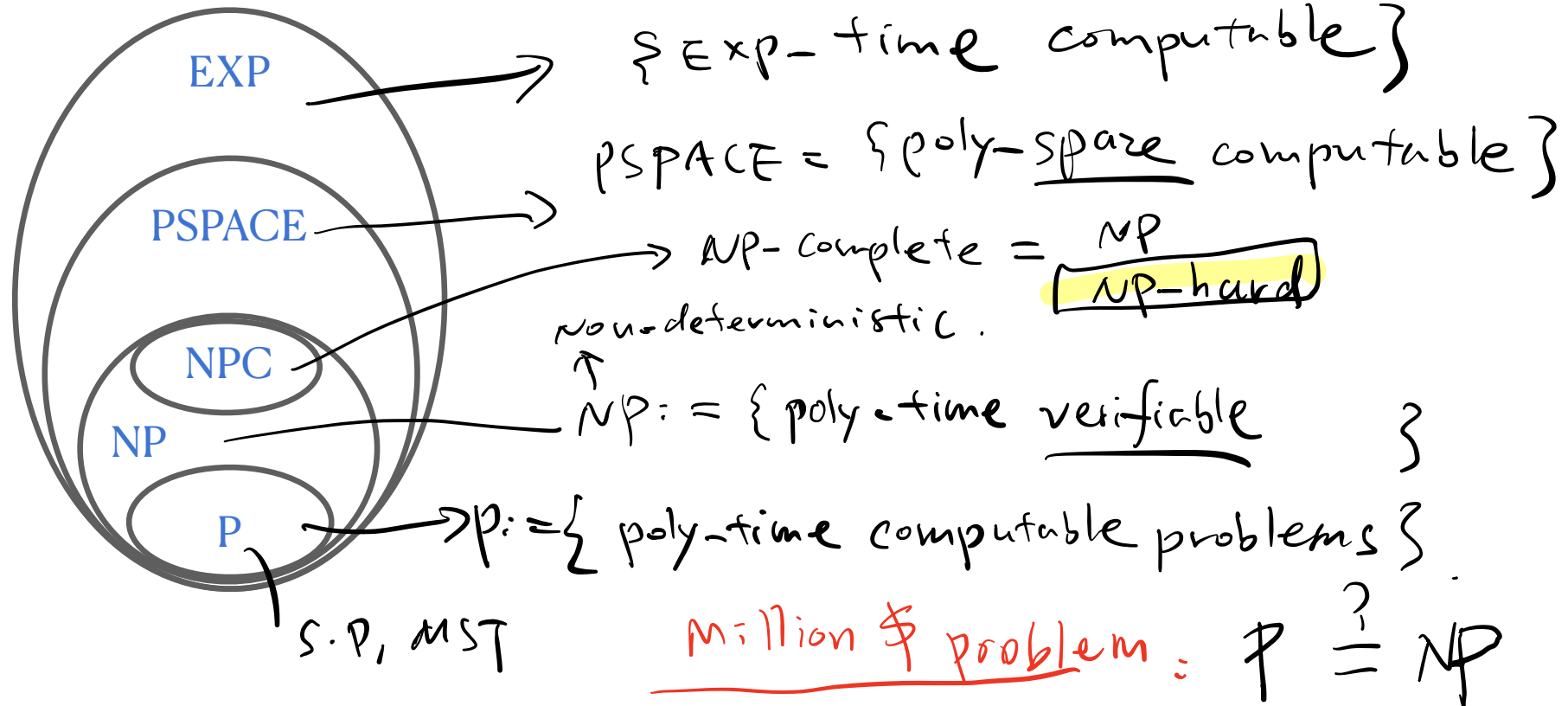
# **A taste of complexity theory**

# Easy vs. hard problems

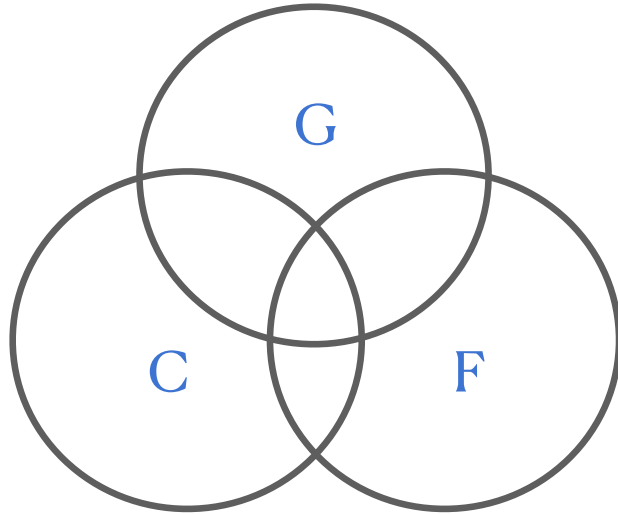
Easy	Hard
Shortest path	Longest path
Minimum spanning tree	Travel salesperson
Sorting	3-coloring
...	...

© Goal: Classify problems according to the resources needed to solve them.

# Complexity Zoo



# Dealing with NP-hard problems



- **G**eneral: no restrictions on inputs.
  - **C**orrectness: correct on every input.
  - **F**ast: polynomial time on every input.
- Current reality: pick two!

