



Portland State U

F, 05/08/2020

S'20 CS 410/510

# Intro to quantum computing

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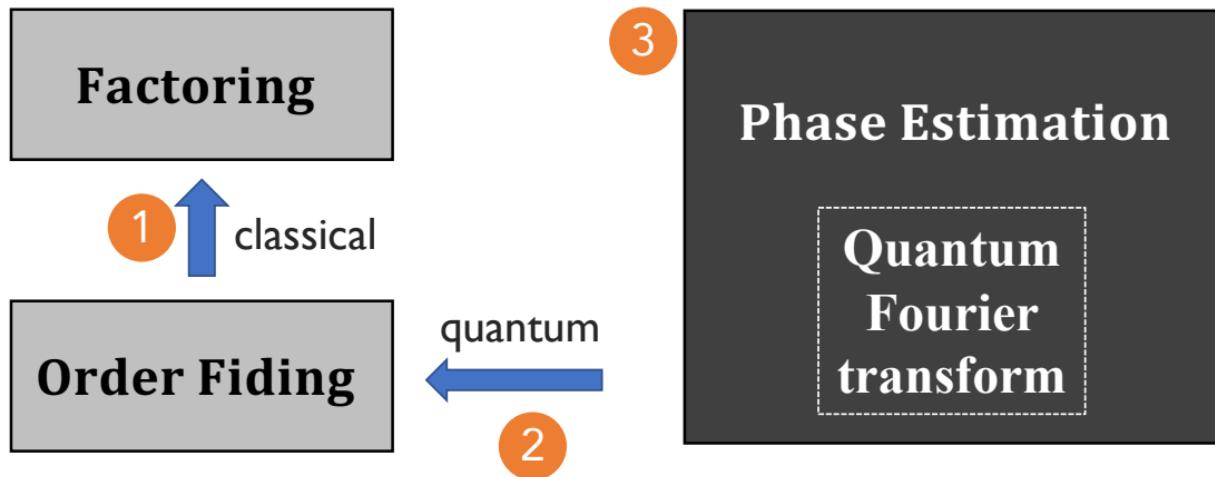
## Week 6

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- Phase estimation
- Quantum Fourier transform

Credit: based on slides by Richard Cleve

# Recall: quantum factorization algorithm



- Last week: 1 & 2 (treating PE as black-box)
- Today: 3 open up PE and QFT

# Phase estimation (eigenvalue est.) [Kitaev'94]

Input:

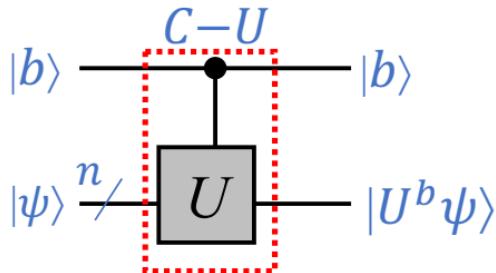
- Unitary operation  $U$  (described by a quantum circuit).
- A quantum state  $|\psi\rangle$  that is an eigenvector of  $U$ :  $U|\psi\rangle = e^{2\pi i \theta} |\psi\rangle$ .

Output: An approximation to  $\theta \in [0, 1)$ .

▪ A central tool in quantum algorithm design

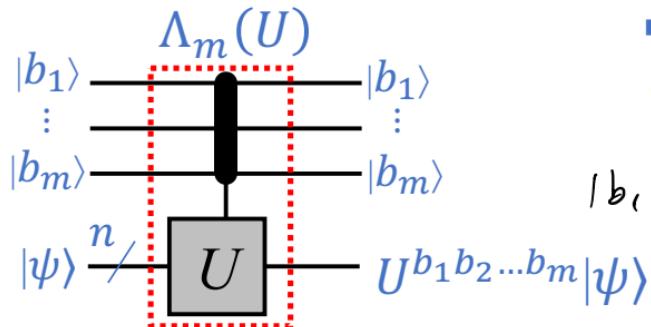
- Order finding
- QFT ( $\mathbb{Z}_m$ )
- Hidden subgroup problem
- Quantum linear system solver
- Quantum simulation
- ...

# Generalized controlled unitary



- $C-U = \begin{pmatrix} I & 0 \\ 0 & U \end{pmatrix}$
- $CNOT = \begin{pmatrix} I & 0 \\ 0 & X \end{pmatrix}$

$$k \leftarrow b_1 \dots b_m \quad 2^{m-1} \cdot b_1 + 2^{m-2} b_2 + \dots + b_m$$



- $\Lambda_m(U)$  on  $m+n$  qubits
- $|k\rangle|\psi\rangle \mapsto |k\rangle U^k |\psi\rangle, k \in \{0, 1, \dots, 2^m - 1\}$

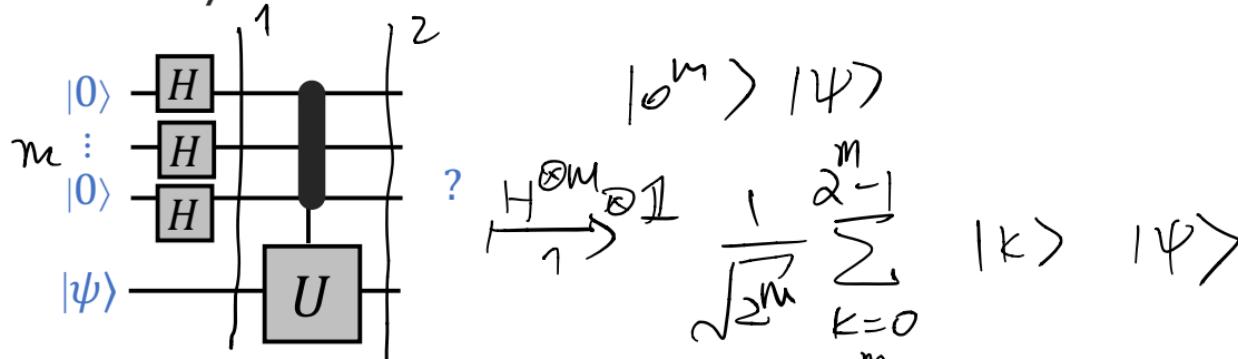
$$|b_1 \dots b_m\rangle \quad \Lambda_m(U) = \begin{pmatrix} I & 0 & 0 & \dots & 0 \\ 0 & U & 0 & \dots & 0 \\ 0 & 0 & U^2 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & U^{2^m-1} \end{pmatrix}$$

- $b_1 b_2 \dots b_m$  base-2 representation of integers
- Identify  $\{000, 001, 010, 011, 100, 101, 110, 111\} = \{0, 1, 2, 3, 4, 5, 6, 7\}$

# Phase estimation algorithm

- Assume a quantum circuit for  $\Lambda_m(U)$  is given

- May be difficult to construct from a circuit for  $U$



$$\begin{aligned} U|\psi\rangle &= e^{2\pi i \theta} |\psi\rangle \xrightarrow{\frac{\Lambda_m(U)}{2}} \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \Lambda_m(U) (|k\rangle |\psi\rangle) \\ &= \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle U^\dagger |\psi\rangle \end{aligned}$$

# Phase estimation algorithm

- Assume a quantum circuit for  $\Lambda_m(U)$  is given

- May be difficult to construct from a circuit for  $U$

A quantum circuit diagram showing a sequence of operations on  $m+1$  qubits. The first  $m$  qubits are initialized to  $|0\rangle$ , followed by a control qubit initialized to  $|\psi\rangle$ . The circuit consists of three stages: 1)  $m$  Hadamard gates ( $H$ ) applied to the first  $m$  qubits. 2) A multi-controlled NOT gate (represented by a black rectangle) where the control is on the  $m$ -th qubit and the target is on the  $(m+1)$ -th qubit. 3) A unitary  $U$  applied to the  $(m+1)$ -th qubit. A question mark is placed after the circuit.

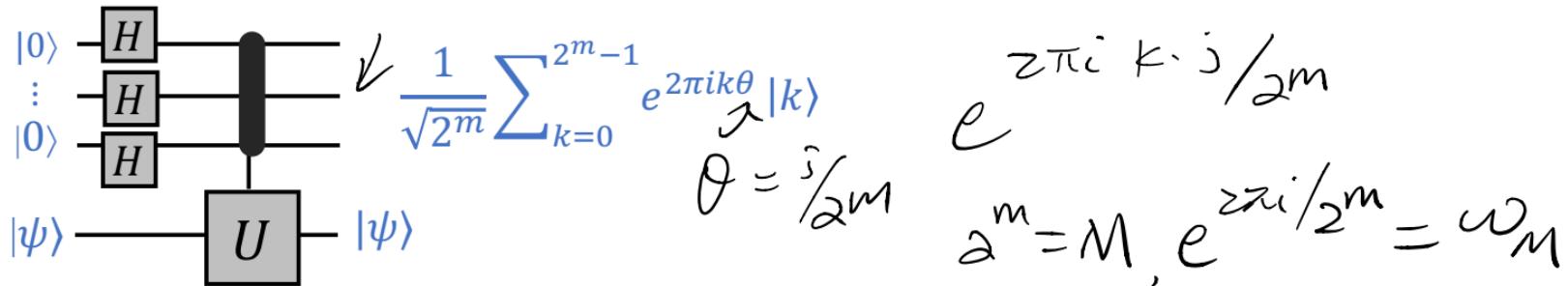
$$\begin{aligned} &= \frac{1}{\sqrt{2^m}} \left( \sum_{k=0}^{2^m-1} |k\rangle \underbrace{U}_{\text{circled}} |\psi\rangle \right) \\ &= \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle e^{2\pi i k \theta} |\psi\rangle \end{aligned}$$

$$U|\psi\rangle = e^{2\pi i \theta}|\psi\rangle$$

$$\begin{aligned} |\psi\rangle &\xrightarrow{U} e^{2\pi i \theta} |\psi\rangle \xrightarrow{U} (e^{2\pi i \theta})^2 |\psi\rangle \\ &= e^{2\pi i 2\theta} |\psi\rangle \end{aligned}$$

# Phase estimation algorithm cont'd

- A special case:  $\theta = \frac{j}{2^m}$  for some  $j \in \{0, 1, \dots, 2^m - 1\}$



Let  $|\phi_j\rangle := \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \omega_M^{kj} |k\rangle$  ( $\omega_M := e^{\frac{2\pi i}{2^m}}$ )

- Determining  $j \Leftrightarrow$  distinguishing between  $|\phi_j\rangle$

# Phase estimation algorithm cont'd

How to distinguishing between  $|\phi_j\rangle, j \in \{0, \dots, 2^m - 1\}$ ?

$$|\phi_j\rangle := \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \omega_M^{kj} |k\rangle \quad (\omega_M := e^{\frac{2\pi i}{2^m}})$$

- Observation.  $\{|\phi_j\rangle\}$  orthonormal
- Pf.  $\langle \phi_j | \phi_{j'} \rangle = \left( \sum_k \omega_M^{-kj} \langle k | \right) \left( \sum_{k'=0}^{2^m-1} \omega_M^{k'j'} |k'\rangle \right) = \left( \frac{1}{\sqrt{2^m}} \langle 0 | \right) \left( \sum_{k=0}^{2^m-1} \left( \frac{1}{\sqrt{2^m}} + \frac{1}{\sqrt{2^m}} i \right) |k\rangle \right)$

$$\begin{aligned}
 & \left( \frac{1}{\sqrt{2^m}} + \frac{1}{\sqrt{2^m}} i \right) \left( \frac{1}{\sqrt{2^m}} + \frac{1}{\sqrt{2^m}} i \right)^* = \sum_k \sum_{k'} \omega_M^{k'j'} \cdot \omega_M^{-kj} \langle k | k' \rangle \\
 & = \sum_{k=0}^{2^m-1} \omega_M^{k(j-j')} = \sum_{k=0}^{2^m-1} \omega_M^{k(j-j')} = \sum_{k=0}^{2^m-1} 1 = 2^m
 \end{aligned}$$


# Phase estimation algorithm cont'd

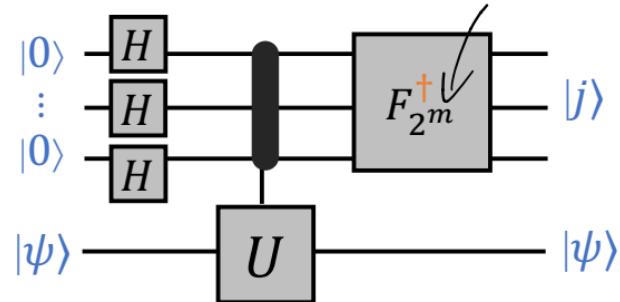
- $\{|\phi_j\rangle\}$  orthonormal  $\rightarrow \exists$  unitary  $F: |j\rangle \mapsto |\phi_j\rangle = \frac{1}{\sqrt{M}} \sum_{k=0}^{M-1} \omega_M^{kj} |k\rangle$ ,  $M = 2^m$

$$F_M = \frac{1}{\sqrt{M}} \begin{pmatrix} \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \dots & \omega^{M-1} \\ 1 & \omega^2 & \omega^4 & \dots & \omega^{2(M-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{M-1} & \omega^{2(M-1)} & \dots & \omega^{(M-1)(M-1)} \end{pmatrix}$$

$$F^{-1}: |\phi_j\rangle \mapsto |j\rangle$$

# Phase estimation algorithm cont'd

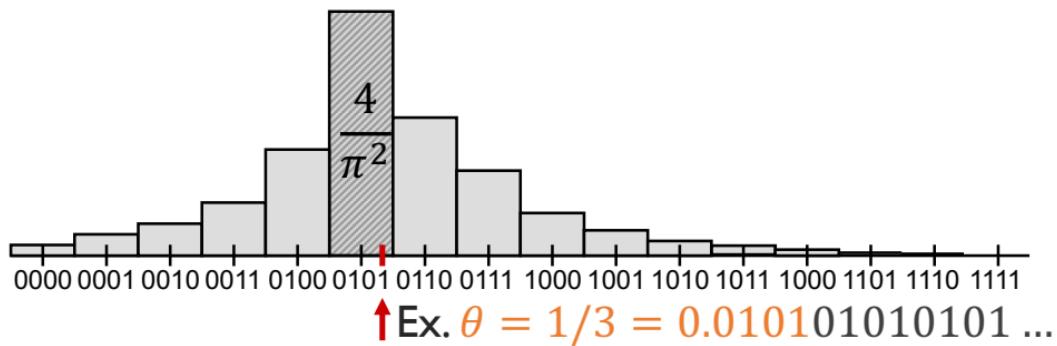
- Special case  $\theta = \frac{j}{2^m} = 0.j_1j_2\dots j_m$ .



- General  $\theta = 0.j_1j_2\dots j_m j_{m+1}\dots$

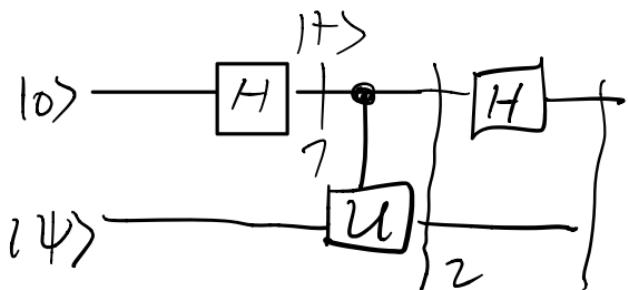
→ Measure  $j = j_1j_2\dots j_m$  ( $m$ -bit approximation of  $\theta$ ) with prob. at least  $\frac{4}{\pi^2} \approx 0.4$ .

$$\mathcal{U}\mathcal{U}^\dagger = \mathbb{I}$$
$$\mathcal{U}^\dagger = \mathcal{U}^{-1}$$



# Exercise

1. Let  $U$  be a unitary on one qubit, and  $|\psi\rangle$  is an eigenvector with eigenvalue either 1 or  $-1$ . Can you design a quantum algorithm to determine the eigenvalue? How many gates do you need?



$$\begin{aligned}
 & \text{if } \lambda=1 \quad |0\rangle \\
 & \lambda=-1 \quad |1\rangle \\
 |+\rangle |\psi\rangle & \xrightarrow{\text{C-U}} |0\rangle |\psi\rangle + |1\rangle |\psi\rangle \\
 & = |0\rangle |\psi\rangle + |1\rangle \lambda |\psi\rangle
 \end{aligned}$$

$$\begin{aligned}
 2: \lambda=1 \quad & |+\rangle \xrightarrow{H} |0\rangle \\
 \lambda=-1 \quad & |-\rangle \xrightarrow{U} |1\rangle
 \end{aligned}$$

$$(-1)(|0\rangle - |1\rangle) = |1\rangle$$

# What about $F_M$

- Discrete Fourier transform

$$\begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{M-1} \end{pmatrix} \mapsto \begin{pmatrix} y_0 \\ y_1 \\ \vdots \\ y_{M-1} \end{pmatrix} = F_M \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{M-1} \end{pmatrix}$$

$$y_j = \frac{1}{\sqrt{M}} \sum_{k=0}^{M-1} \omega_M^{kj} x_k$$

$$F_M = \frac{1}{\sqrt{M}} \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^{M-1} \\ 1 & \omega^2 & \omega^4 & \cdots & \omega^{2(M-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{M-1} & \omega^{2(M-1)} & \cdots & \omega^{(M-1)(M-1)} \end{pmatrix}$$

Applications everywhere: signal processing, optics, crystallography, geology, astronomy ...

- Quantum Fourier transform QFT<sub>M</sub>  $|j\rangle \mapsto |\phi_j\rangle = \frac{1}{\sqrt{M}} \sum_{k=0}^{M-1} \omega_M^{kj} |k\rangle$

$$\sum_{j=0}^{M-1} x_j |j\rangle \mapsto \sum_{j=0}^{M-1} y_j |j\rangle, y_j = \frac{1}{\sqrt{M}} \sum_{k=0}^{M-1} \omega_M^{kj} x_k$$

# Computing $F_M$

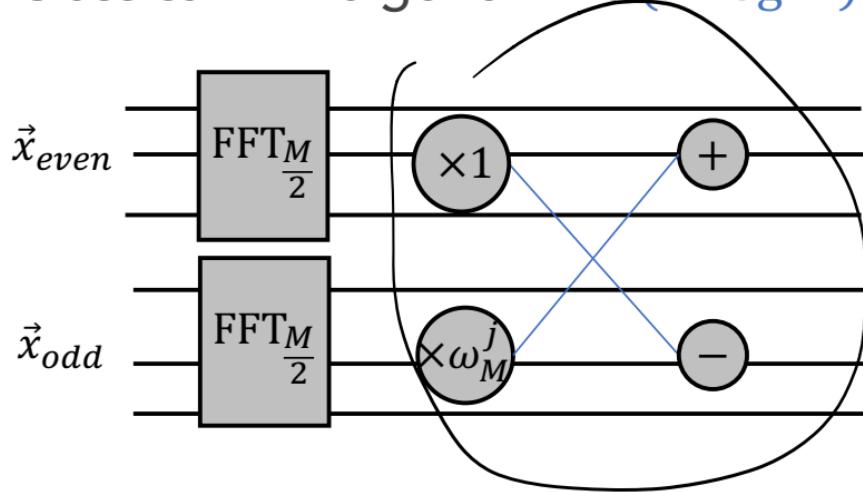
- Naïve matrix multiplication  $O(M^2)$
- Classical FFT algorithm:  $O(M \log M)$  arithmetic operations

$$F_M = \frac{1}{\sqrt{M}} \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \omega & \omega^2 & \cdots & \omega^{M-1} \\ 1 & \omega^2 & \omega^4 & \cdots & \omega^{2(M-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{M-1} & \omega^{2(M-1)} & \cdots & \omega^{(M-1)(M-1)} \end{pmatrix}$$

$$\begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{M-1} \end{pmatrix} \mapsto \begin{pmatrix} y_0 \\ y_1 \\ \vdots \\ y_{M-1} \end{pmatrix} = F_M \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{M-1} \end{pmatrix} = \begin{pmatrix} F_{M/2} \begin{pmatrix} x_0 \\ x_2 \\ \vdots \\ x_{M-2} \\ x_0 \\ x_2 \\ \vdots \\ x_{M-2} \end{pmatrix} & \omega_M^j F_{M/2} \begin{pmatrix} x_1 \\ x_3 \\ \vdots \\ x_{M-1} \\ x_1 \\ x_3 \\ \vdots \\ x_{M-1} \end{pmatrix} \\ F_{M/2} \begin{pmatrix} x_1 \\ x_3 \\ \vdots \\ x_{M-1} \\ x_1 \\ x_3 \\ \vdots \\ x_{M-1} \end{pmatrix} & -\omega_M^j F_{M/2} \begin{pmatrix} x_0 \\ x_2 \\ \vdots \\ x_{M-2} \\ x_0 \\ x_2 \\ \vdots \\ x_{M-2} \end{pmatrix} \end{pmatrix}$$

# Computing $F_M$ cont'd

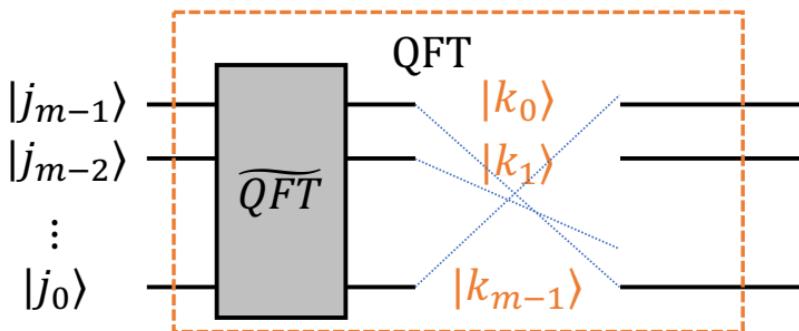
- Classical FFT algorithm:  $O(M \log M)$  arithmetic operations



- $T(M) = 2T(M/2) + O(M) = O(M \log M)$  [Think of Merge Sort]

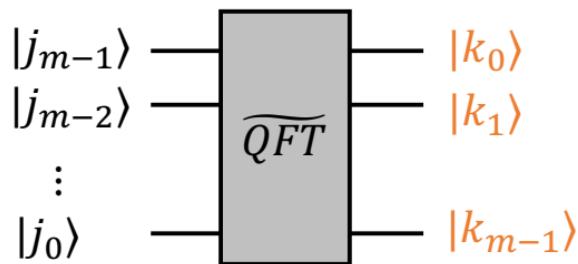
# Quantum Fourier Transform

- $\exists$  QFT circuit of size  $O(m^2)$  [ $\log^2 M$  vs. FFT  $M \log M$ ]  
$$j = 2^{m-1} j_{m-1} + 2^{m-2} \cdot j_{m-2} + \dots + 2^0 \cdot j_0 \quad k_{m-1} k_{m-2} \dots k_0$$
- Let's implement  $\widetilde{QFT}_M |j_{m-1} j_{m-2} \dots j_0\rangle = \frac{1}{\sqrt{M}} \sum_k \omega_M^{kj} |k_0 k_1 \dots k_{m-1}\rangle$ 
  - i.e. reverse the order of the output qubits of QFT



# Quantum Fourier Transform cont'd

- $\widetilde{QFT}_M |j_{m-1} j_{m-2} \dots j_0\rangle = \frac{1}{\sqrt{M}} \sum_k \omega_M^{kj} |k_0 k_1 \dots k_{m-1}\rangle \quad M=2^m$



$$\begin{aligned} \widetilde{QFT}_2 |b\rangle &= \frac{1}{\sqrt{2}} \sum_{k=0}^1 \omega_2^{b,k} |k\rangle \\ &= \frac{1}{\sqrt{2}} (|0\rangle + (-1)^b |1\rangle) \end{aligned}$$

$m \geq 2$

$j' = j_{m-1} j_{m-2} \dots j_1 = \lfloor j/2 \rfloor$

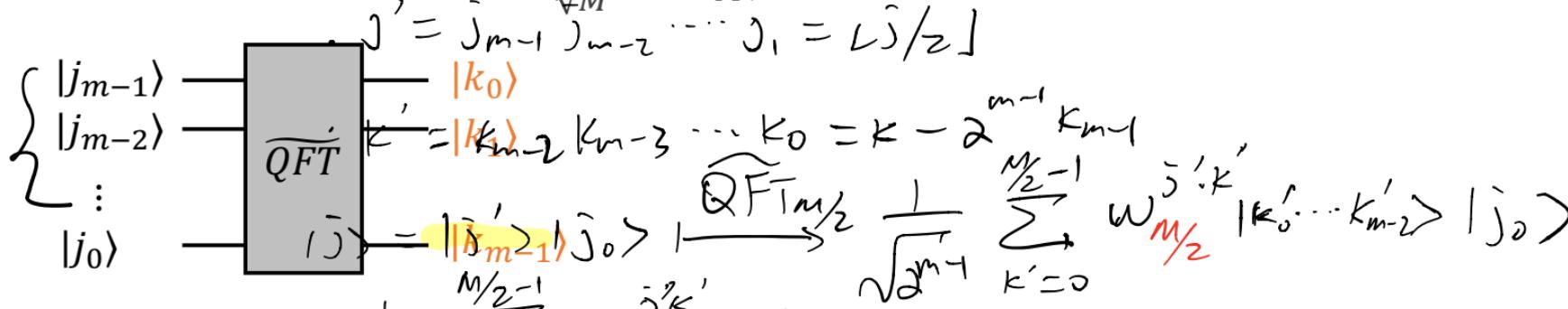
$\widetilde{QFT}_{M/2}$

$k' = k_{m-2} k_{m-3} \dots k_0 = k - 2^{m-1} k_{m-1}$

$|j\rangle = |j'\rangle |j_o\rangle \xrightarrow{\widetilde{QFT}_{M/2}} \frac{1}{\sqrt{2^{m-1}}} \sum_{k'=0}^{M/2-1} \omega_M^{j'k'} |k'_0 \dots k'_{m-2} k_o\rangle |j_o\rangle$

# Quantum Fourier Transform cont'd

- $\widetilde{QFT}_M |j_{m-1} j_{m-2} \dots j_0\rangle = \frac{1}{\sqrt{M}} \sum_k \omega_M^{kj} |k_0 k_1 \dots k_{m-1}\rangle \quad M = 2^m$



$$m \geq 2$$

$$= \frac{1}{\sqrt{M/2}} \sum_{k'=0}^{M/2-1} \omega_M^{jk'} |k'_0 \dots k'_{m-2}\rangle |j_0\rangle$$

- $\widetilde{QFT}_{M/2} \xrightarrow{\text{C-R}_k} \sum_{k'} \omega_{M/2}^{jk'} w_M^{k'j_0} \cdot w_{M/2}^{k_1 j_0} \dots w_4^{k_{m-2} j_0} |k'_0 \dots k'_{m-2}\rangle |j_0\rangle$

# Quantum Fourier Transform cont'd

- $\widetilde{QFT}_M |j_{m-1} j_{m-2} \dots j_0\rangle = \frac{1}{\sqrt{M}} \sum_k \omega_M^{kj} |k_0 k_1 \dots k_{m-1}\rangle$

$W_{M/2} = e^{\frac{2\pi i}{M/2}} = e^{\frac{(2\pi i)^2}{M}}$   
 $= (e^{\frac{2\pi i}{M}})^2$   
 $= W_M$

- $\widetilde{QFT}_{M/2} = \sum_{k'} W_M^{2 \cdot j' k' + k_0' j_0 + 2 k_1' j_0 + \dots + j_0 (2^{m-2} k_{m-2}')}$

$$\cdot \hat{j}' = j_{m-1} j_{m-2} \cdots j_1 = \lfloor j/z \rfloor$$

$$\cdot k' = k_{m-2} k_{m-3} \cdots k_0 = k - 2^{m-1} k_{m-1}$$

$$2 \cdot \hat{j}' k' + k_0' \hat{j}_0 + 2k_1' \hat{j}_0 + \cdots + \hat{j}_0 (2^{m-2} k_{m-2}')$$

$$\sum_{k'} w_M$$

$$|k_0' k_1' \cdots k_{m-2}' \rangle |j_0\rangle$$

$$= \sum_{k'=0}^{\frac{M}{2}-1} w_M^{\hat{j} k'} |k_0' k_1' \cdots k_{m-2}' \rangle |j_0\rangle$$

$$t(|j_0\rangle) = |0\rangle$$

$$+ (-1)^{\hat{j}_0} |1\rangle$$

$$= \sum_{k'=0}^{\frac{M}{2}-1} \sum_{k_{m-1}=0}^1 w_M^{\hat{j} k'} (-1)^{k_{m-1} \hat{j}_0} |k_0' \cdots k_{m-2}' \rangle |k_{m-1}\rangle$$

$$(-1)^{k_{m-1} \hat{j}_0}$$

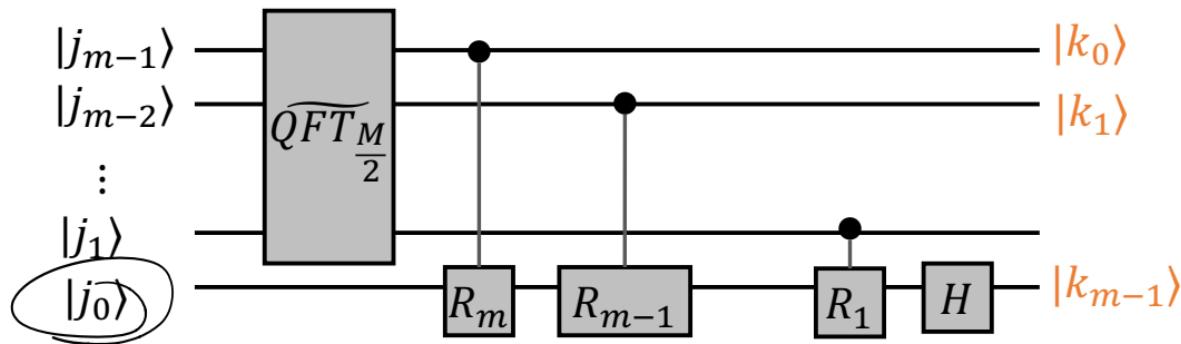
$$(-1) = w_M^{\frac{M}{2}}$$

$$= \sum_{k'} \sum_{k_{m-1}} w_M^{\hat{j} k' + \hat{j} (2^{m-1} k_{m-1})} |k_0' \cdots k_{m-2}' \rangle |k_{m-1}\rangle$$

$$|k_0 k_1 \cdots k_{m-1}\rangle$$

# Quantum Fourier Transform cont'd

- $\widetilde{QFT}_M |j_{m-1} j_{m-2} \dots j_0\rangle = \frac{1}{\sqrt{M}} \sum_k \omega_M^{kj} |k_0 k_1 \dots k_{m-1}\rangle$



$$R_k = \begin{pmatrix} 1 & 0 \\ 0 & e^{2\pi i / 2^k} \end{pmatrix}$$

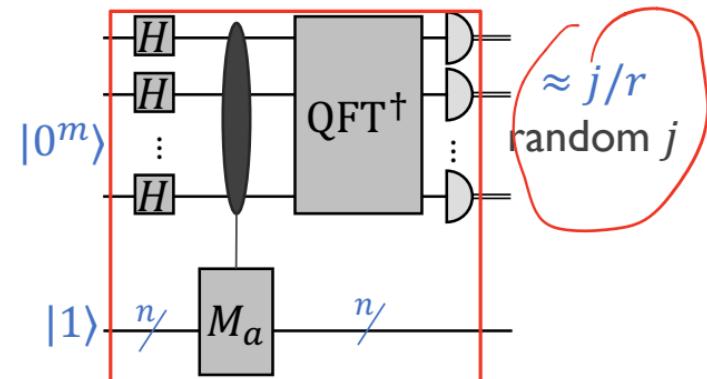
$$\begin{aligned} R_{1c}: |0\rangle &\mapsto |+\rangle \\ |\downarrow\rangle &\mapsto e^{\frac{2\pi i}{2^k}} |\downarrow\rangle \end{aligned}$$

?  $k=1$

- $T(m) = T(m - 1) + O(m) = O(m^2)$

# Revisit quantum order finding algorithm

- QFT ✓  $n = \lceil \log N \rceil$
- $\Lambda_m(M_a): |k\rangle|x\rangle \mapsto |k\rangle|a^k x \bmod N\rangle$ 
  - Modular exponentiation takes time  $O(mn^2)$
  - $m = O(n)$  suffices to recover  $r$
- Circuit size  $\text{poly}(n)$



$$|1\rangle = |00 \dots 1\rangle = \frac{1}{\sqrt{r}} \sum |\psi_j\rangle$$

- NB. Read about continued fraction if curious  
<https://people.eecs.berkeley.edu/~vazirani/s09quantum/notes/lecture4.pdf>

# Summary



# Exercise

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1. Let  $\vec{x} = \left( \frac{1}{\sqrt{2}}, 0, 0, \frac{i}{\sqrt{2}} \right)$ . Compute  $\vec{y} = F_4 \vec{x}$  using FFT
2. Draw the QFT circuit that implements  $F_4$

